



*RHIC Spin Collaboration Meeting  
Forward Spectrometer Hardware  
BNL – March 9, 2017*



# **Large GEM Detectors for Tracking at Forward Rapidities**

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*Florida Institute of Technology*



# Outline



- ❑ GEM principle
- ❑ Large-area GEMs in experiments
  - ❑ EIC detectors
  - ❑ CMS forward muon upgrade
- ❑ **R&D on large-area GEMs for EIC**
  - ❑ Construction techniques
  - ❑ Prototype designs and performances
- ❑ Outlook

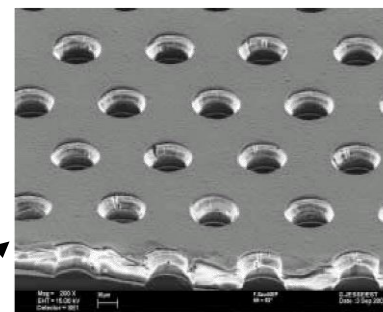
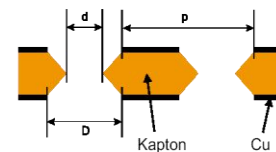


# GEM Principle



Typical dimensions:

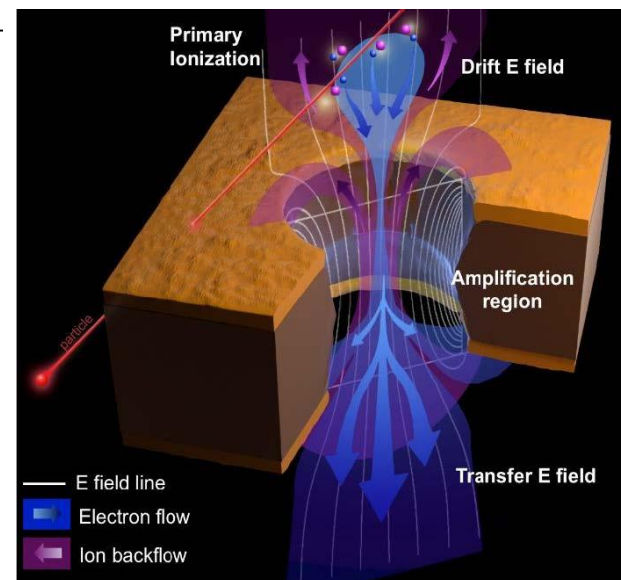
$$\begin{aligned} D &= 70 \mu\text{m} \\ d &= 60 \mu\text{m} \\ p &= 140 \mu\text{m} \end{aligned}$$



Gas Electron Multiplier [F. Sauli, NIM A386, 531 (1997)]

**Micro-pattern gas detector (MPGD)**

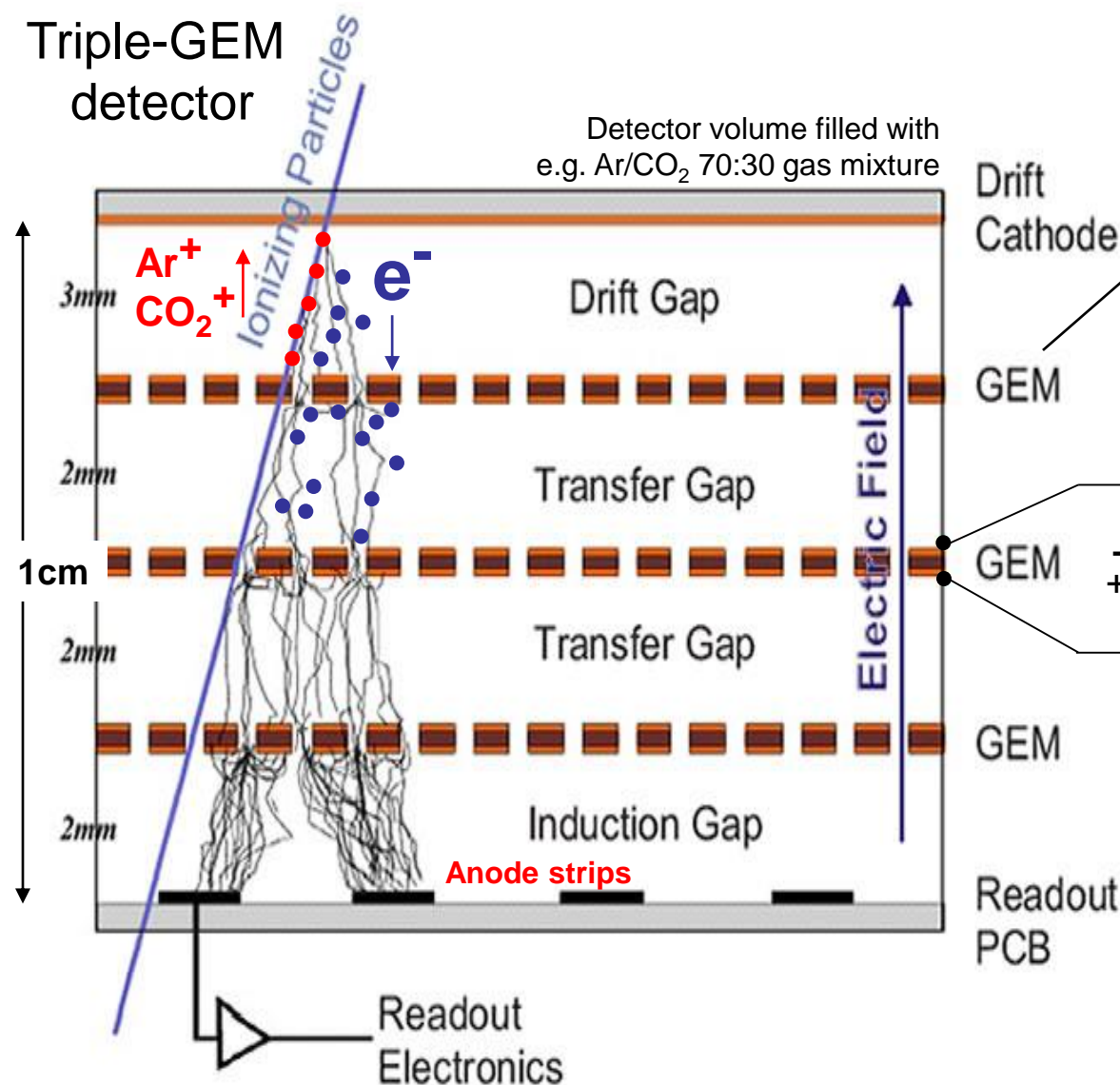
**300 – 500 V (on each GEM)**



CMS GEM upgrade TDR

**Triple-GEM detector**

Detector volume filled with  
e.g. Ar/CO<sub>2</sub> 70:30 gas mixture





# Large-area GEM Uses



## Examples in Nuclear and High Energy Physics:

- ALICE
  - *TPC upgrade*
- CMS
  - *Forward muon upgrade*
- PRad
  - *XY tagger for calorimeter*
- Super Big Bite
  - *Main tracking*
- EIC detectors
  - *Forward tracking*

*GEMs are usually considered “large” if they are  $\geq 1\text{m}$  long.*

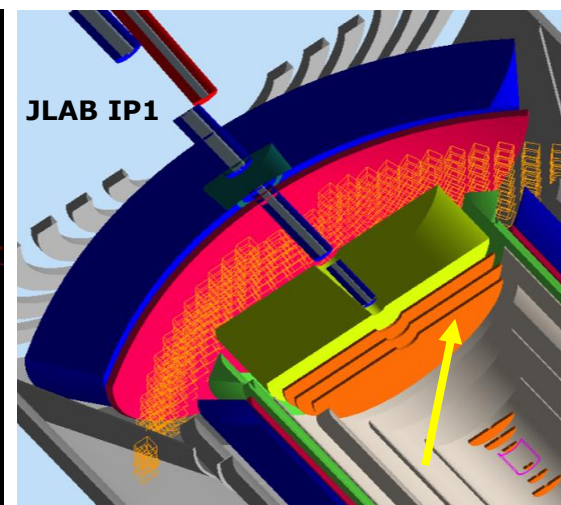
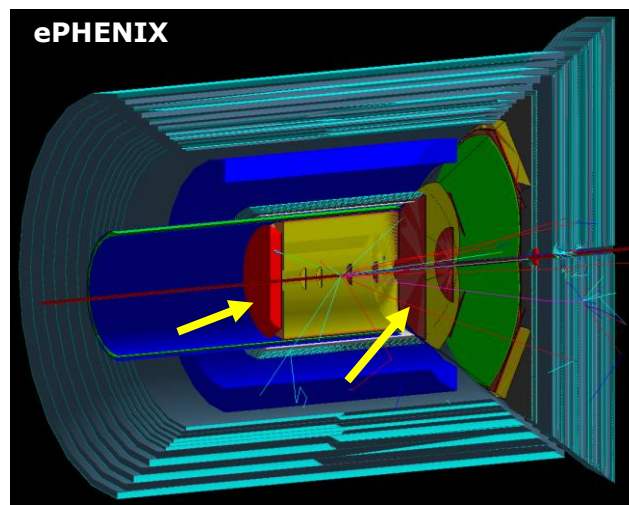
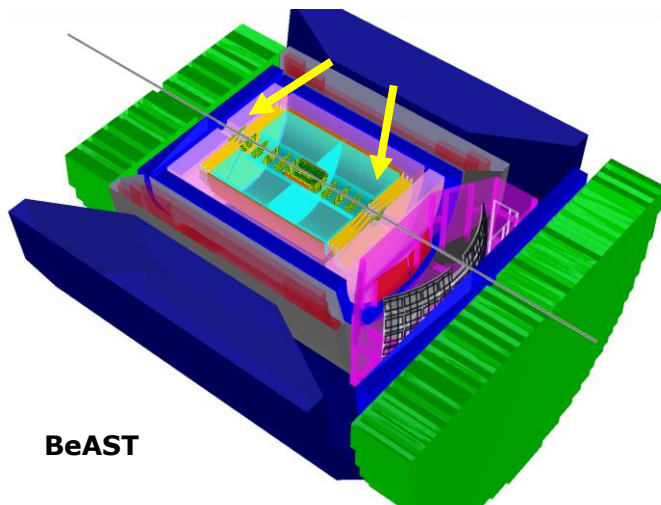




# Large GEMs for EIC Detectors



All proposed EIC detector concepts feature a form of large GEM tracker at forward and backward rapidities:



R&D effort dedicated to EIC forward tracking since 2011:  
Florida Tech & U. Virginia (eRD6), Temple U. (eRD3)



# CMS Forward Muon Upgrade



Large GEMs were originally developed at CERN for the CMS forward muon upgrade project:

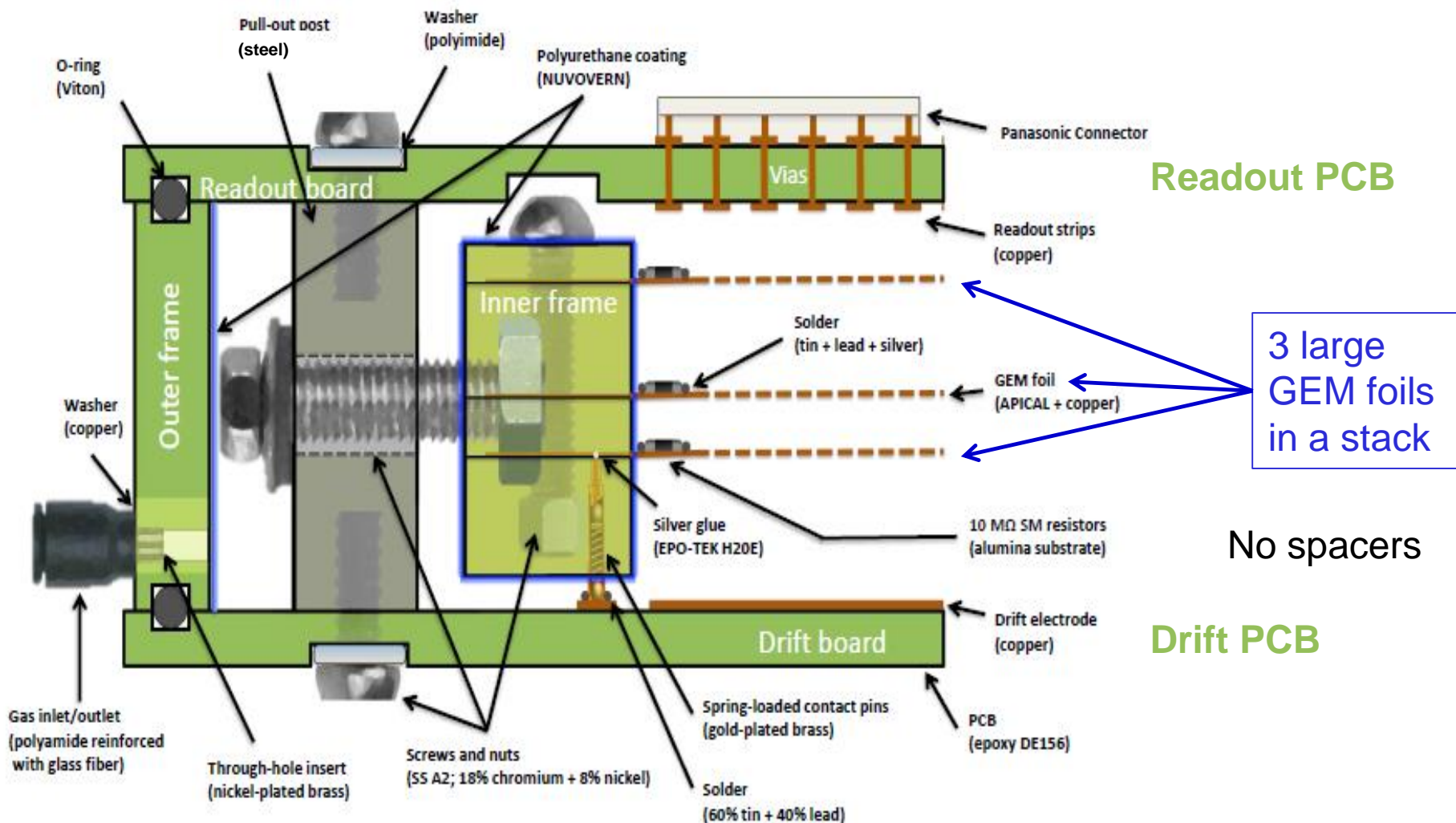
- **R&D program 2009-17** (with Fl. Tech participation)
  - Mastering of single-mask etching of Cu-Kapton base material made GEMs larger than  $\sim 30 \text{ cm} \times 30 \text{ cm}$  feasible
  - Mechanical stretching and assembly of GEM foils makes internal spacers obsolete & allows re-opening of detector if needed (no gluing).
  - Ten full-size GEM detectors installed in 2017 (slice test)
- **Technical Design Report** for GEM upgrade in first muon station for High-Lumi. LHC approved 9/2015
- Currently preparing **mass production** of 160 GEM detectors & **second TDR** for two other muon stations



# CMS GEM – Mechanical Construction



Purely mechanical assembly and GEM foil stretching:



CMS GEM TDR (CERN LHCC-2015-012)

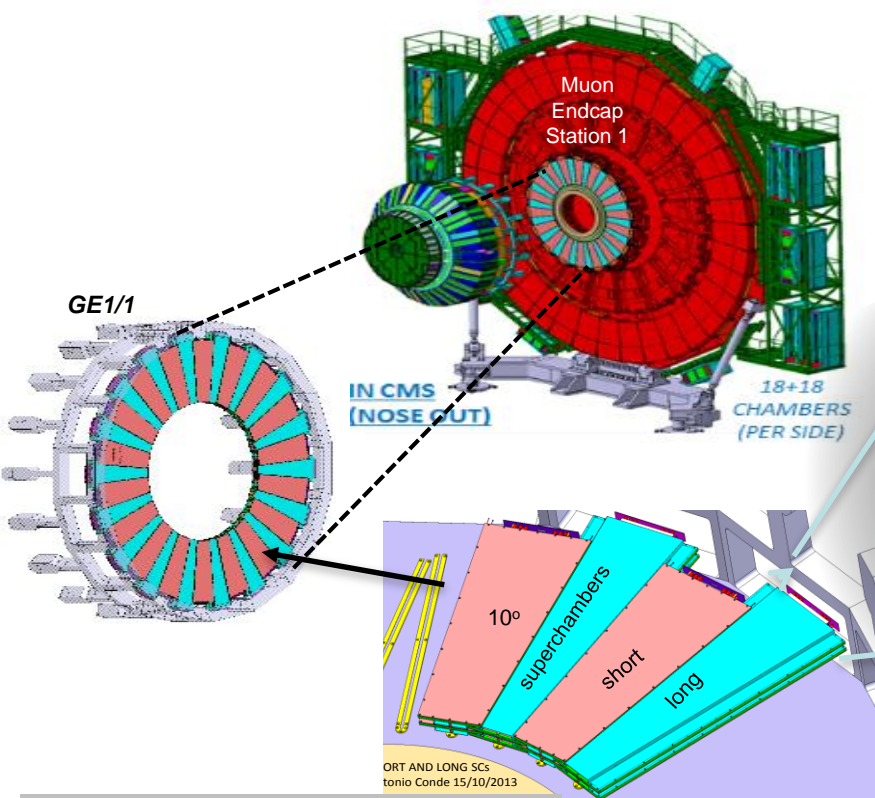




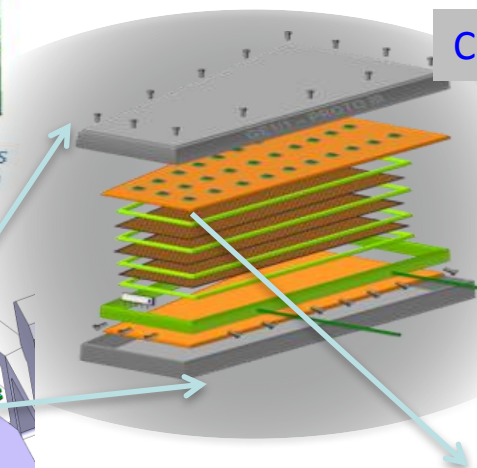
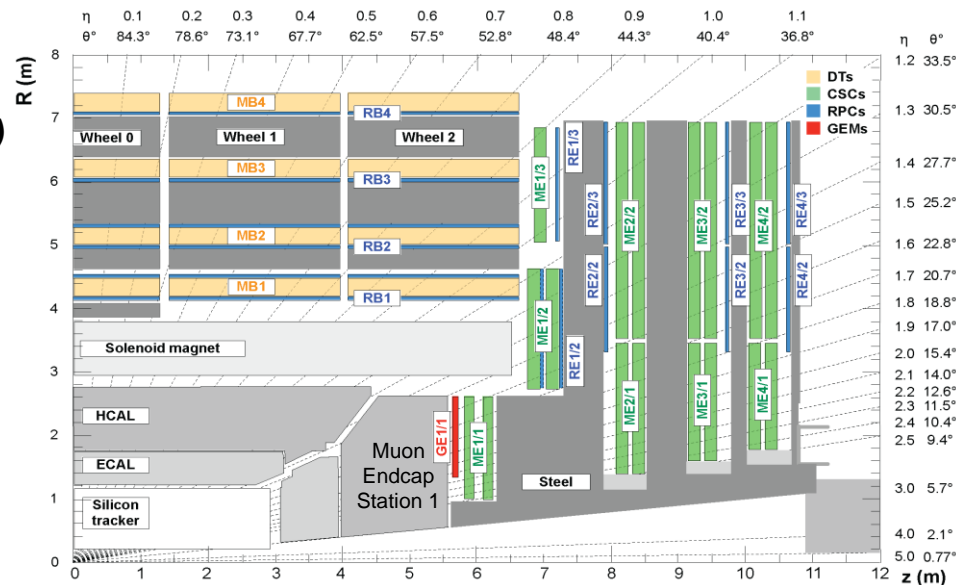
# CMS GEM Upgrade



- “GE1/1” GEM subsystem in region  $1.5 < |\eta| < 2.2$
- $10^\circ$  trapezoidal triple-GEM Superchambers (2 ch.)
- Long and short versions ( $1.5$  or  $1.6 < |\eta| < 2.2$ )
- 36 superchambers in each endcap



Installation planned for 2019



Chamber



Front-end





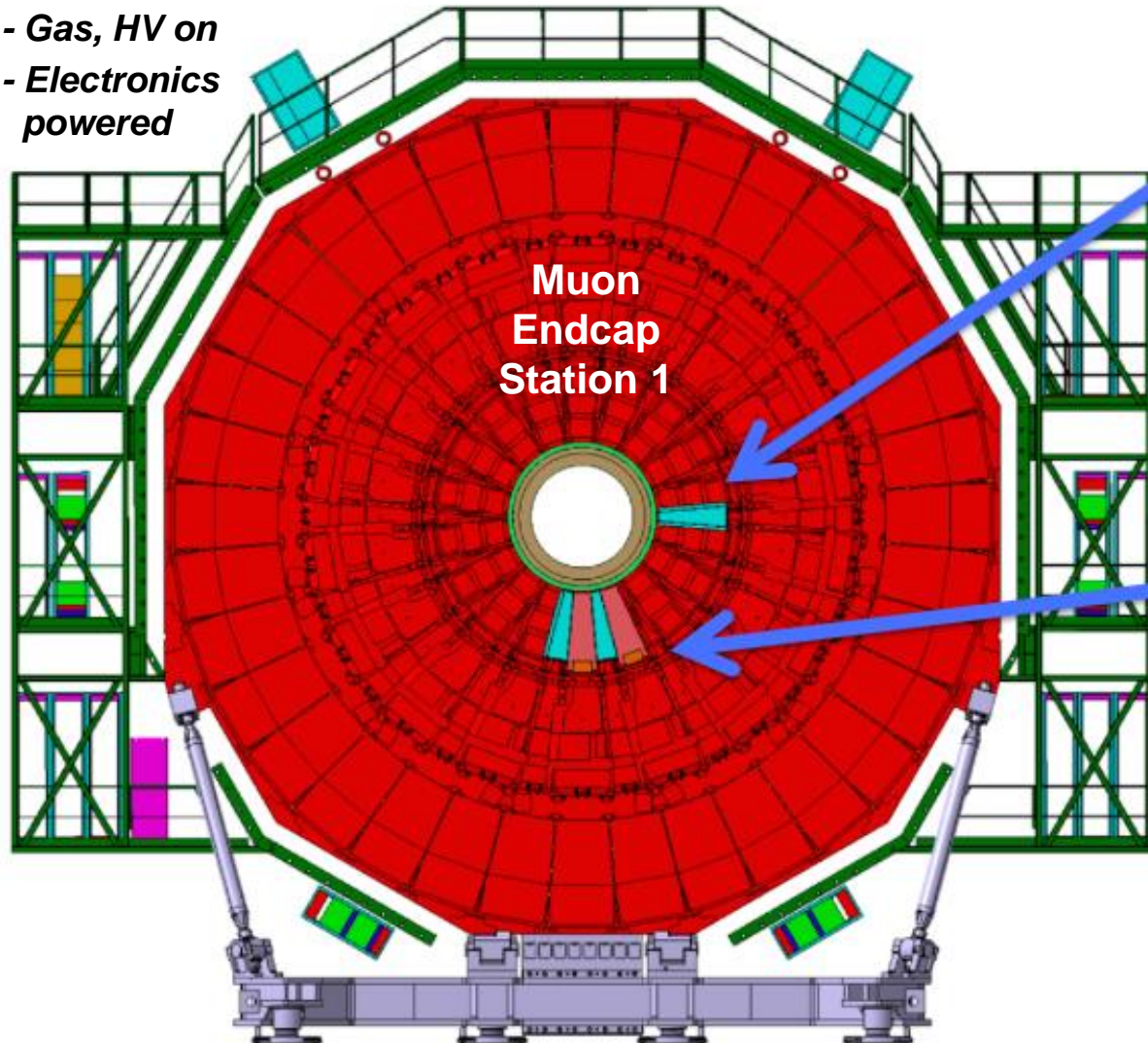
# CMS GEM Slice Test Installation



*Commissioning  
currently ongoing:*

January 2017

- Gas, HV on
- Electronics powered





# EIC GEM R&D: Construction



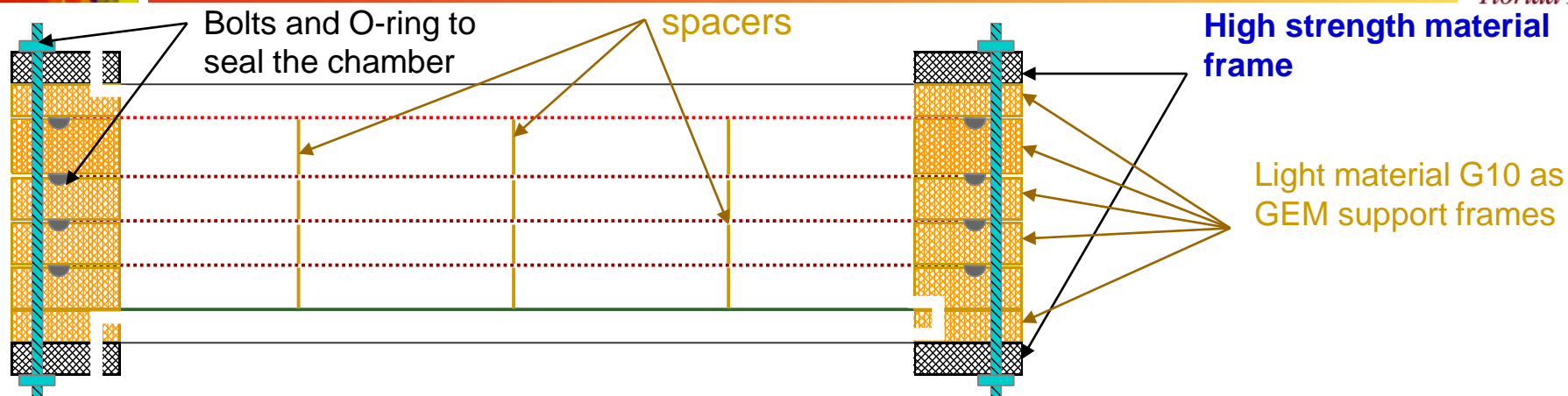
The three eRD3/6 FT groups are investigating **different detector construction techniques:**

- Constructing detector purely mechanically & without any spacers as in CMS (Florida Tech)
- Gluing stretched foils to FR4 spacer frames that are then mechanically assembled (U. Va.)
- Gluing entire detector and inserting kapton rings between GEMs as spacers (Temple)





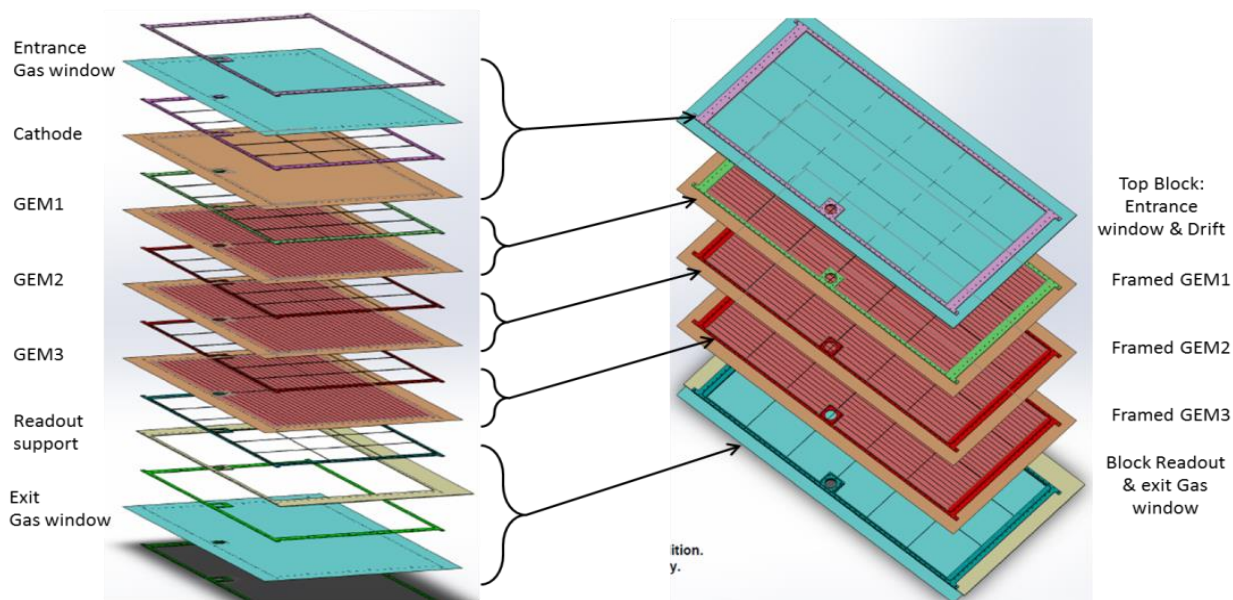
# Assembly with Bolted Spacer Frames (U. Va.)



## Example: Exploded 3D view of PRad GEM design

Before framing & Gluing

After framing & Gluing



## Chamber's characteristics

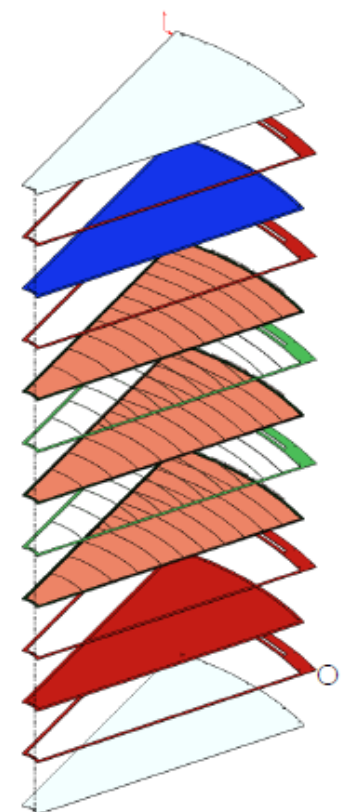
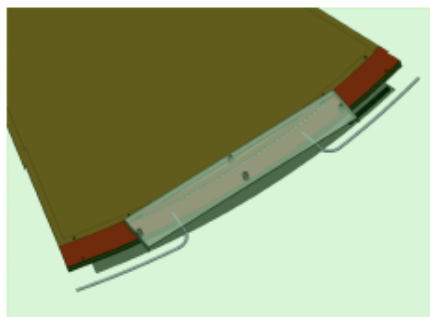
- Low material in active area
- No honey comb support but keep spacers
- Light material for GEM frames
- High strength material for external support frames
- Bolts & O-ring to seal the chambers

Courtesy Kondo Gnanvo, U.Va



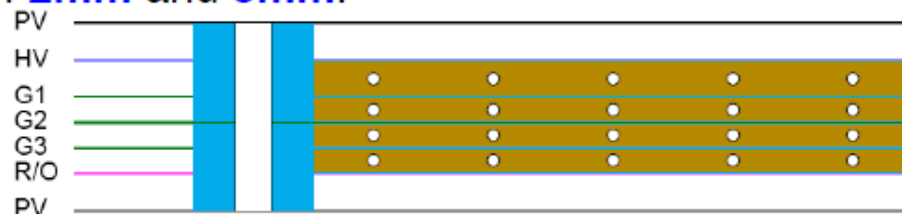
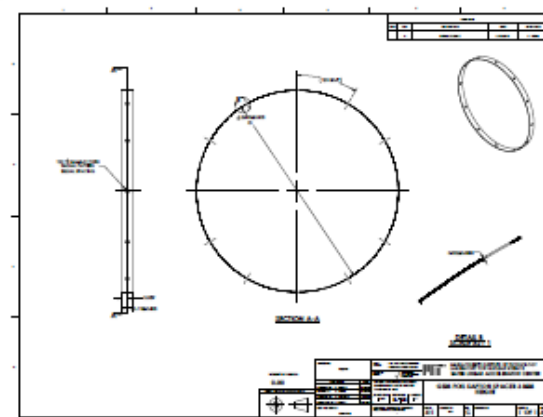


# Assembly with Glued Frames (Temple)



- **Temple** will assemble their EIC triple-GEM prototypes by:
  - **Gluing** the foils to the frames.
  - Forming the GEM stack by **gluing** the frames.
- This method **avoids** having to use bolts to keep the stack together.
- In order to help alleviate dead material, **Kapton rings** are being investigated to be used to separate the GEM layers rather than spacer grids. Spacer grids will be used if Kapton rings don't work.
- **Kapton rings:**
  - **Perforated** walls to allow for gas flow
  - Inner diameter of **50.8 mm**.
  - Wall thickness of **0.127 mm**.
  - Cut into lengths of **2mm** and **3mm**.

Designed to have all HV, FE, and gas connections on **outer radius**.





# EIC GEM R&D: Readout



The three eRD3/6 FT groups are investigating also **different signal readout techniques**:

- 2D-readout with **U-V strips** (U. Va.)
- 2D-readout with **R- $\phi$  readout strips** (Temple)
- 1D-readout with **radial zigzag strips** that minimizes the number of strips and electronic channels to minimize cost while maintaining good spatial resolution (Florida Tech)



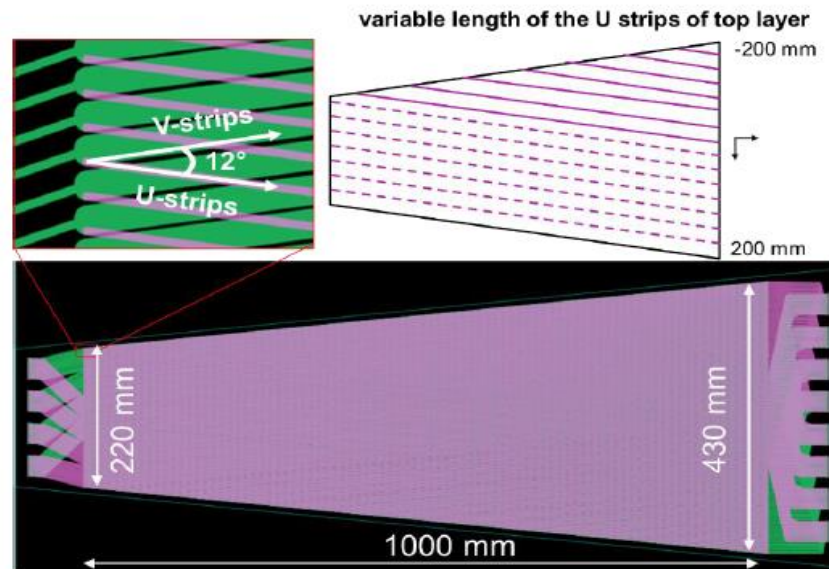
# First U-V Readout Prototype (U. Va.)



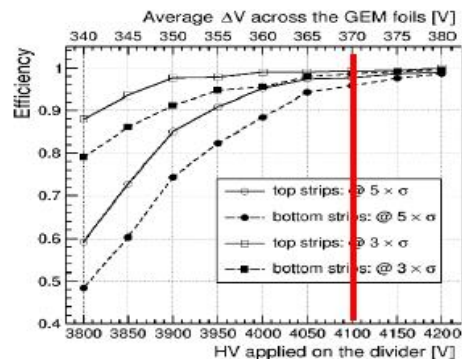
## UVa EIC-FT-GEM proto I

- Trapezoid shape 1-m long triple-GEM (3-2-2-2): widths at the inner radius and outer radius equal to 23 cm and 44 cm respectively.
- Readout board: 2D flexible U-V strips (COMPASS style) with a pitch of 550  $\mu\text{m}$ , top layer (140  $\mu\text{m}$ , wide U-strips) run parallel to one radial side of the detector and bottom layer (490  $\mu\text{m}$ , V-strips) run parallel to the other side and a stereo-angle of 12 degree

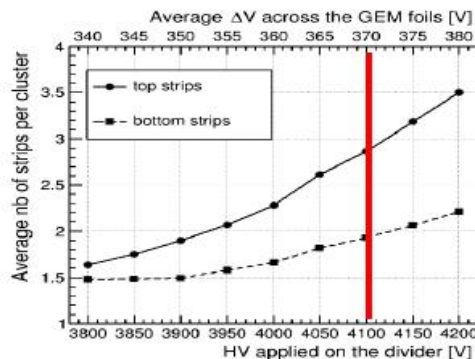
## U-V strip Readout design



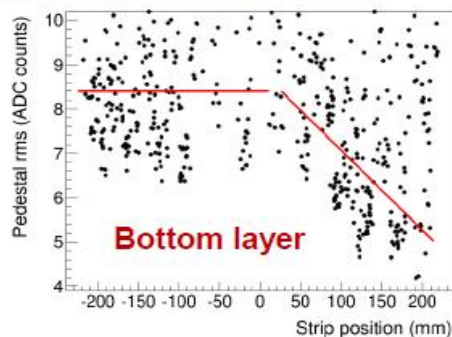
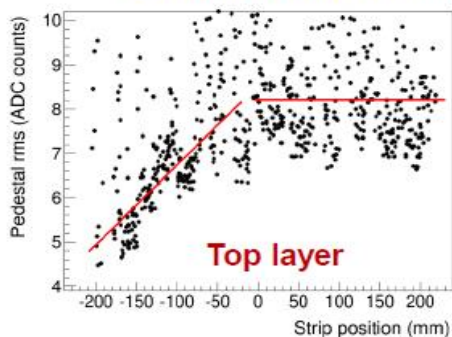
### Efficiency



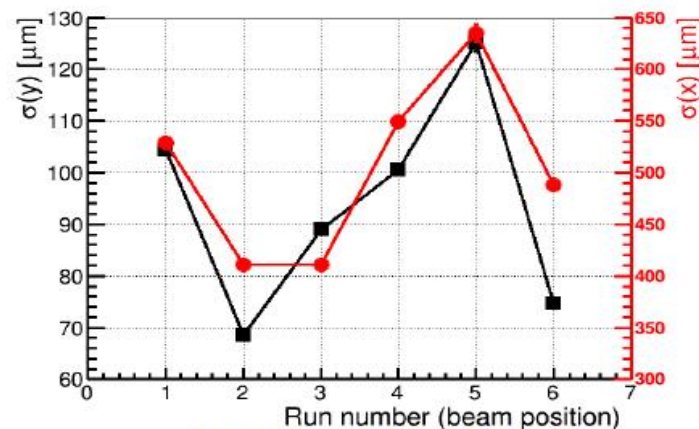
### Mean cluster size



### Correlation between strip length and pedestal RMS noise



### resolution in x (radial) and y (azimuthal)



Courtesy Kondo Gnanvo, U. Va.

[NIM A 808 \(2016\) 83-92](#)

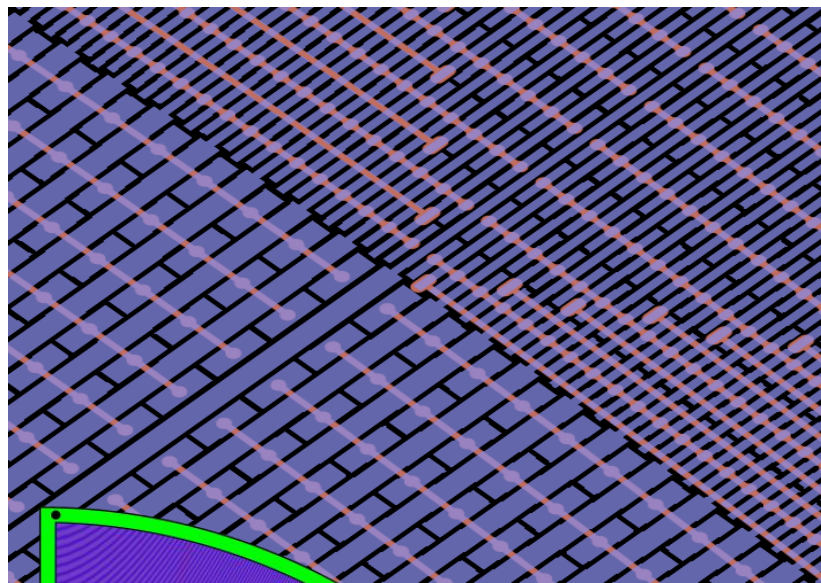




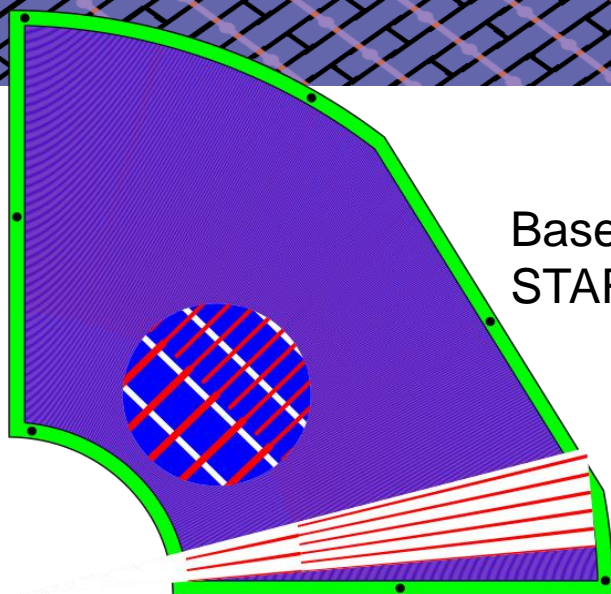
# R, $\phi$ -strip Readout Design (Temple)



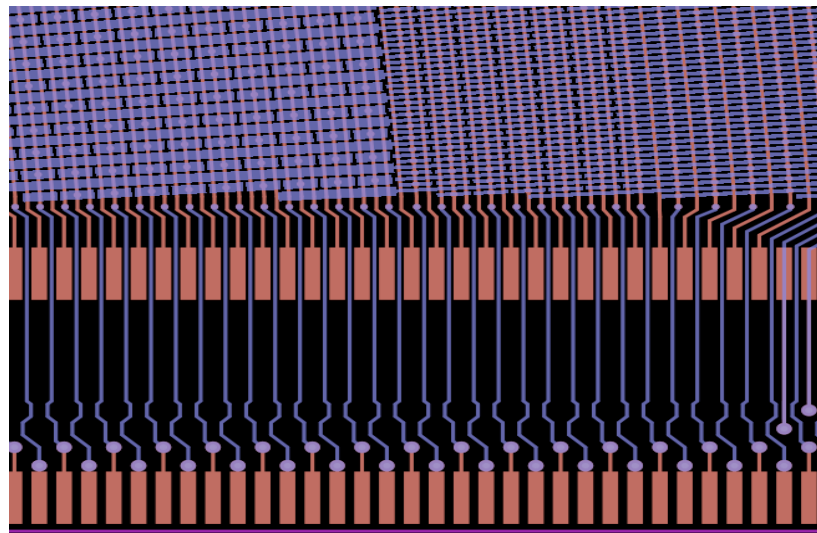
2D strips in R and  $\phi$  coordinates. Implemented as strip-pad design with vias.



- **Active** layer is in **blue**:
  - **Lines** at constant **angle**.
  - **Pads** at constant **radius**.
- **Routing** layer in **orange**:
  - Each line is read out separately.
  - Pads at each radius are connected.
- **300-800 micron** pitch design.



Based on  
STAR FGT

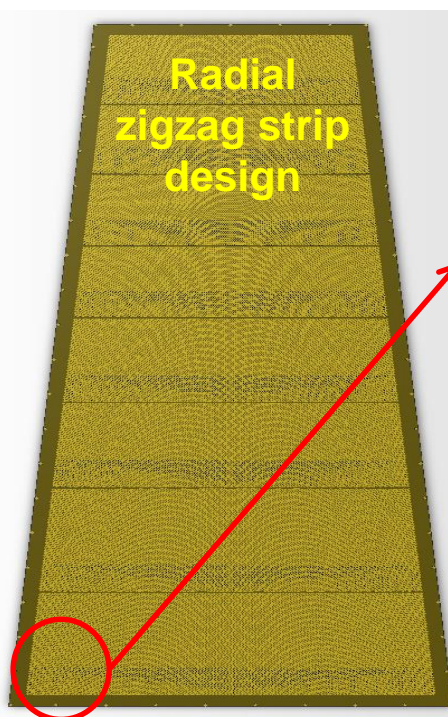
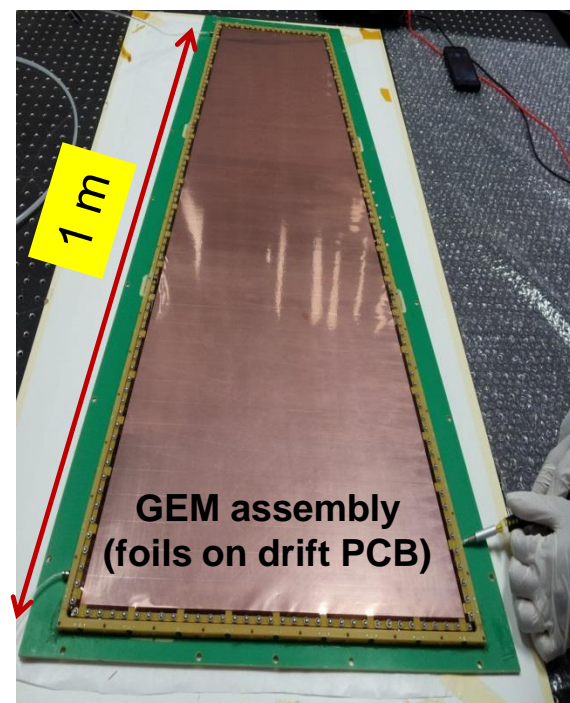


Courtesy Matt Posik, Temple U.





# First Zigzag-strip Readout (FIT)



- CMS GEM detector equipped with a **readout with radial zigzag strips on a PCB**
- Number of strips and readout channels are **reduced by factor 3** relative to CMS readout
- Zigzag strips cover radial range  $R \approx 1.6 - 2.6$  m in 8 eta sectors
- Azimuthal strip angle pitch of 1.37 mrad; 128 strips per sector
- Prototype tested in beam at Fermilab (2013)
- **Performance: Angular resolution of  $\sim 193$   $\mu$ rad ( $362$   $\mu$ m at  $R = 1.88$  m) *NIM A 811 (2016) 30***



# EIC GEM R&D: Mult. Scattering



The three eRD3/6 FT groups are investigating methods to **reduce overall detector material**:

- Remove or reduce Cu on GEM foils (U. Va.)
- Replace solid drift and readout PCBs with carbon fiber composite frames (Florida Tech)

**Reduces multiple scattering** of tracks in the GEM detectors. Helps with:

- matching electron tracks to EM cluster
- seeding RICH ring reconstruction from incidence of hadron tracks on the RICH



# EIC GEM R&D: Next Prototypes



- Adjust the GEM detector geometry to accommodate the **geometry of an actual EIC forward tracker**:
  - $R = 8 - 98 \text{ cm}$  radial coverage
  - $\Delta\varphi = 30.1^\circ$  azimuthal coverage
- EIC R&D group designed a 1-m scale **common GEM foil**, which satisfies the requirements of all three assembly techniques to save cost
- 8 GEM foils were produced at CERN and delivered to Florida Tech and U. Va.

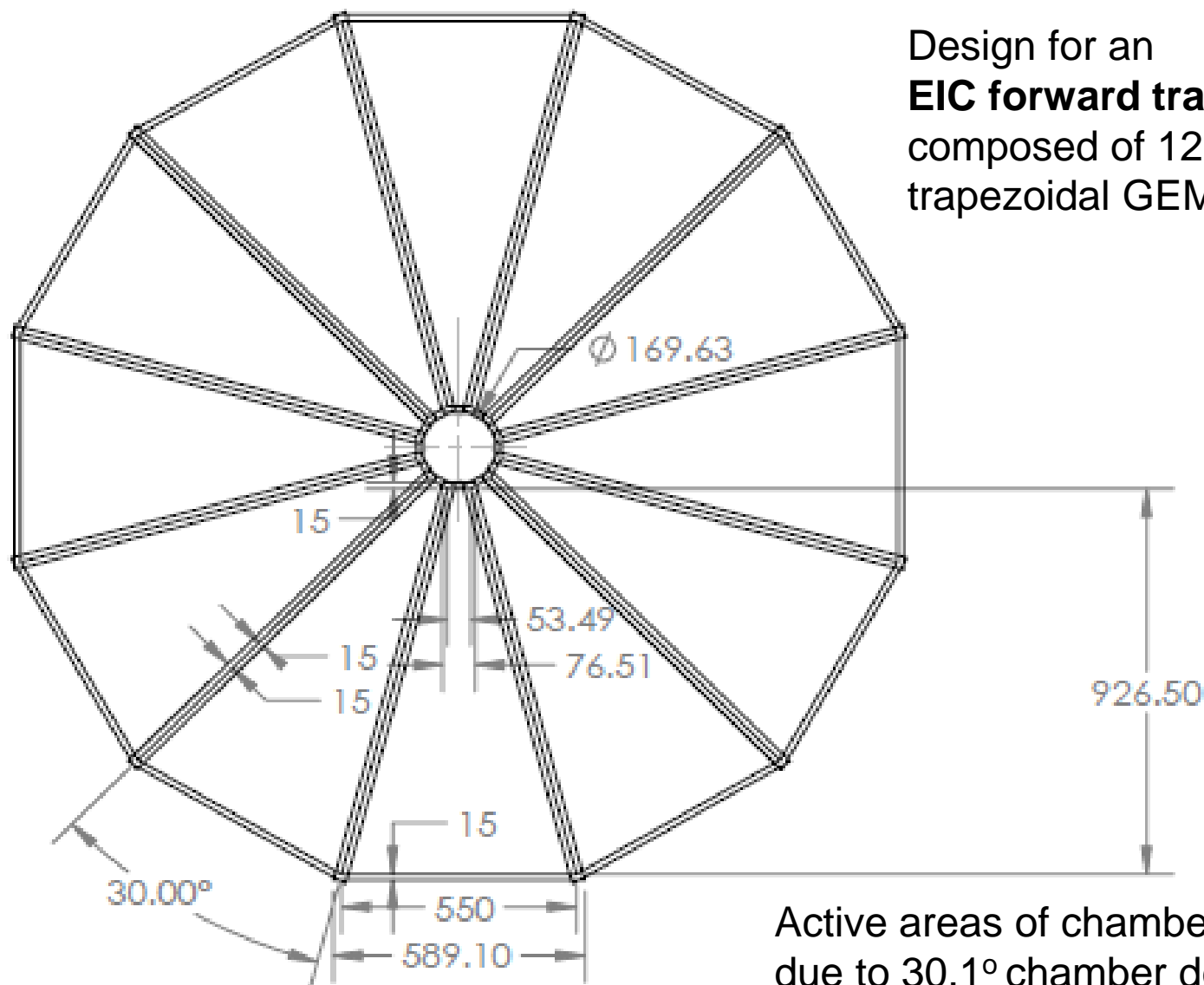




# Overall EIC FT Geometry



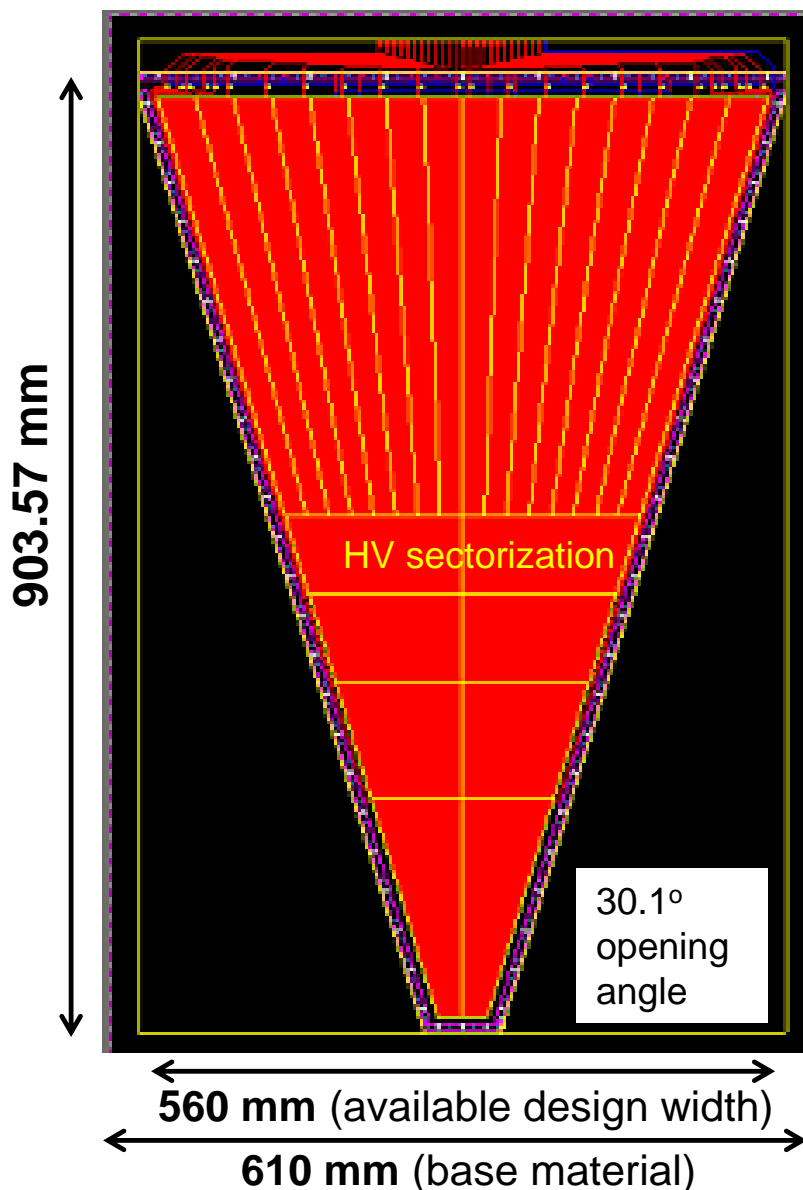
Design for an  
**EIC forward tracker disk**  
composed of 12 such  
trapezoidal GEM detectors



Active areas of chambers overlap  
due to  $30.1^\circ$  chamber design.



# Common EIC GEM Foil Design

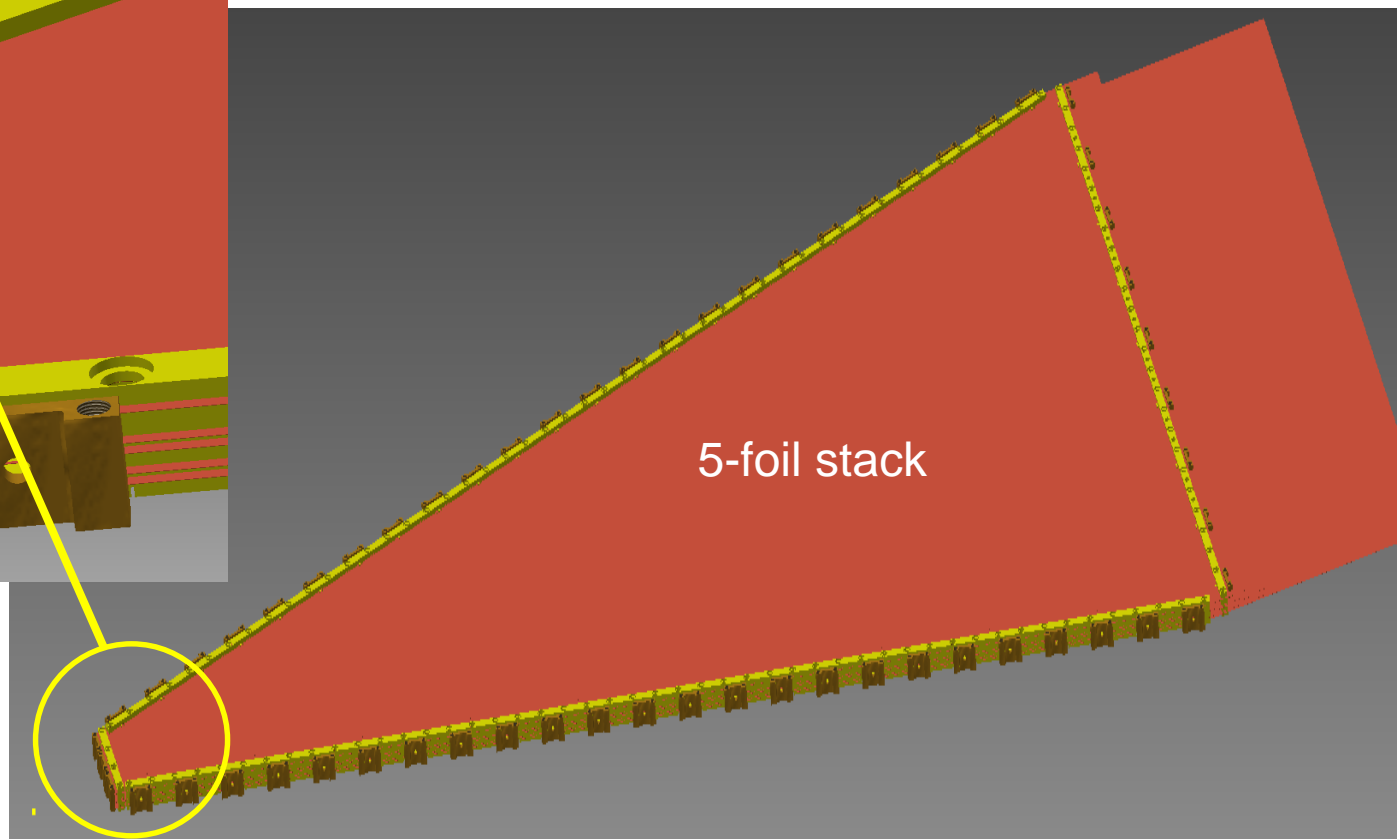
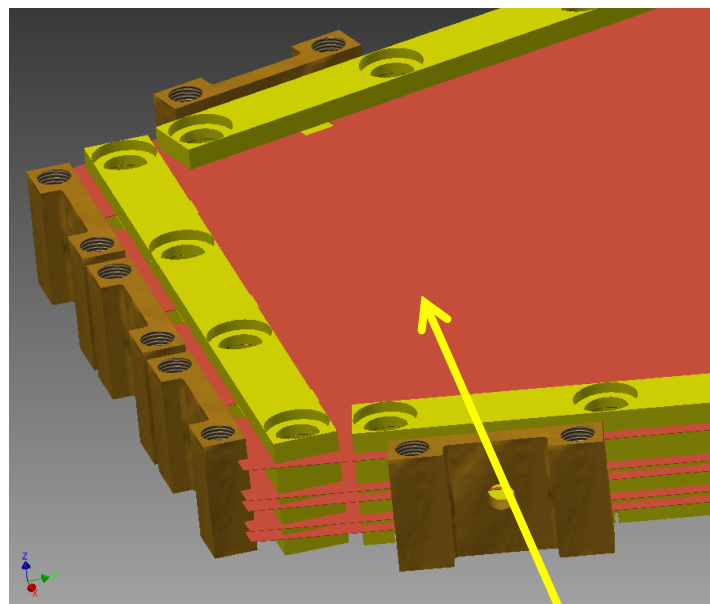


- Foil width (at the large R end) is limited to **560 mm** due to material limit of 610 mm
- 25 mm margins needed for foil production
- Trapezoid with a length of **903.57 mm**, widths of **43 mm** and **529 mm** (active area).
- Active area is divided into 8 HV sectors in R direction at inner R and 18 HV sectors in azimuthal directions at outer R. Reduces energy of any potential discharges.
- Each **HV sector is  $\sim 100 \text{ cm}^2$**  and gaps between sectors are 0.1 mm.
- HV connections are made at wide end





# Modified Mechanical Stretching (FIT)

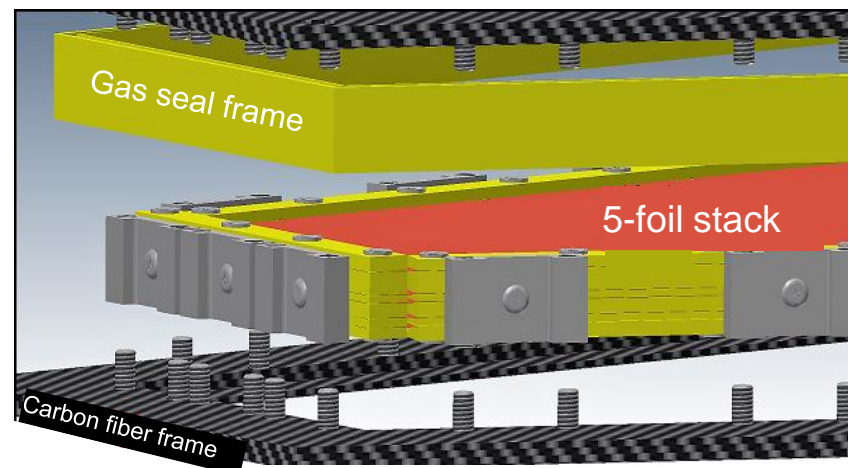
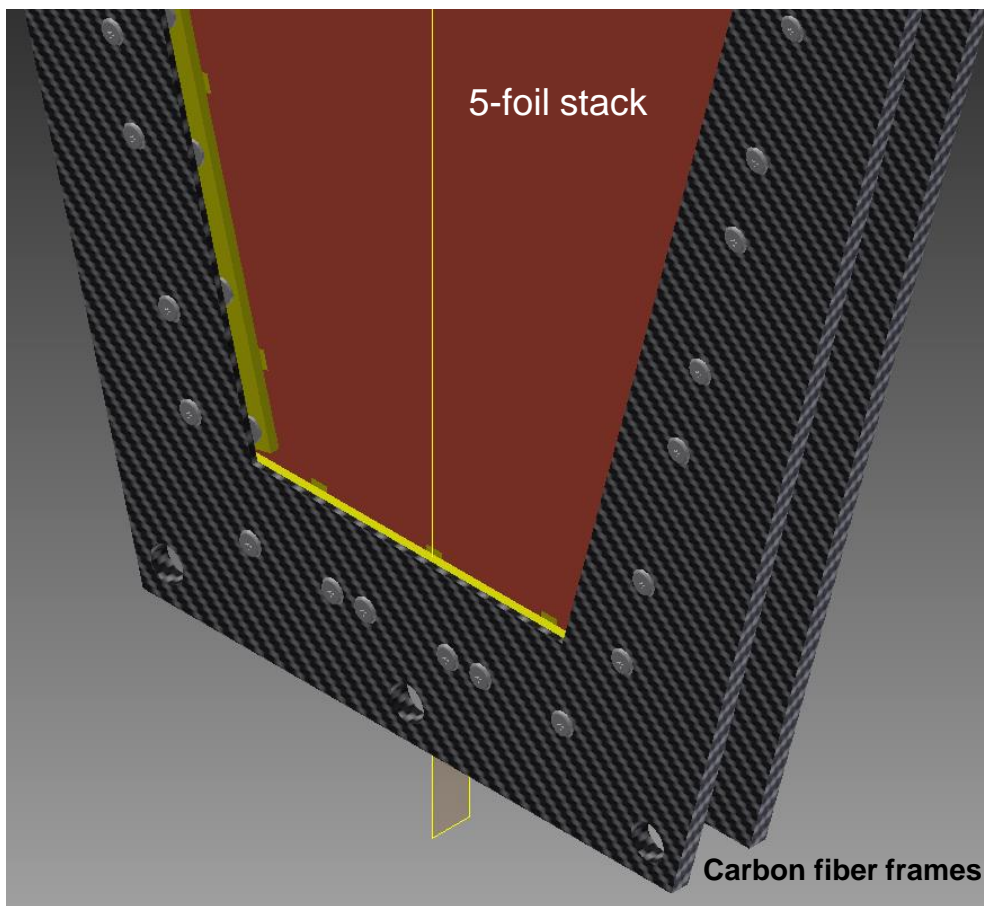


5-foil stack

- **Stack of 5 foils** (3 GEM foils, 1 drift foil, and 1 readout foil)
- No spacers



# Carbon Fiber Frames (FIT)



Exploded assembly view

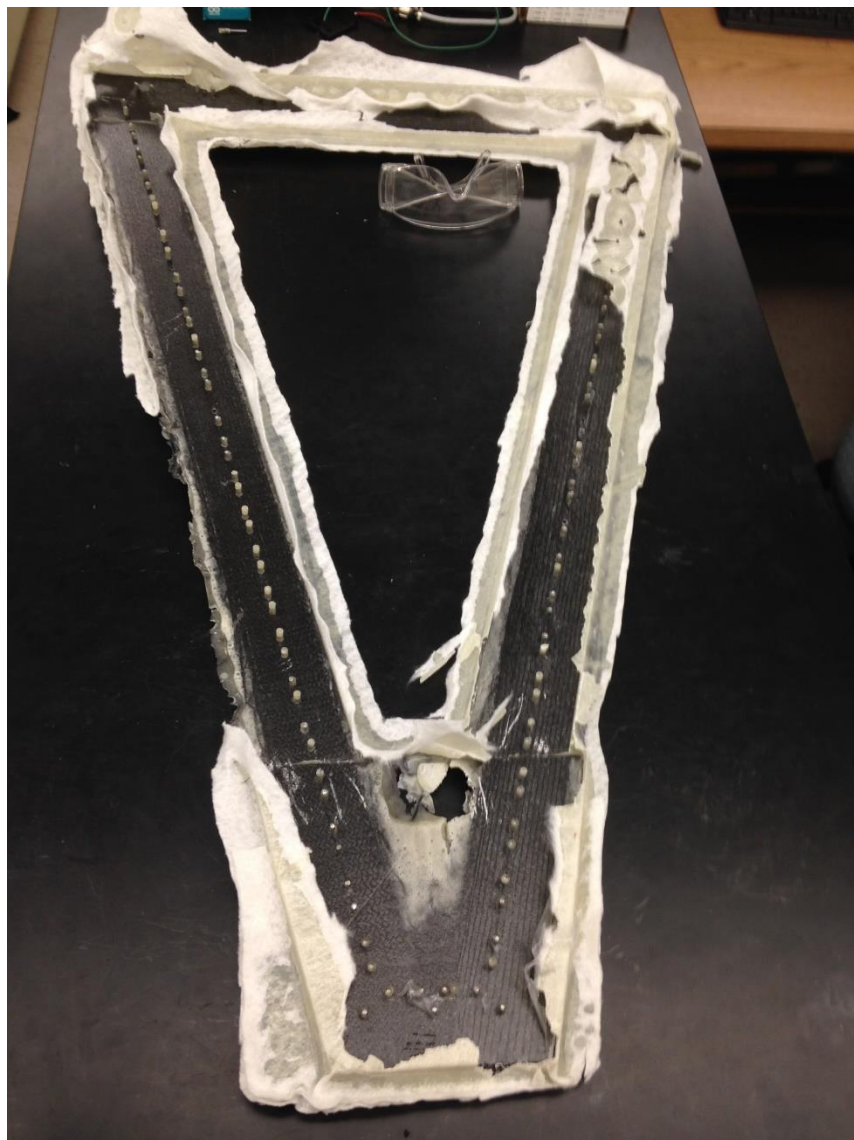
Assembled detector

- Mechanical support structures are **outer frames with windows** (e.g. aluminized mylar foil, not shown here) instead of solid PCBs to reduce radiation length in the active area
- **Frames are made from carbon fiber composites** that have high strength to take up the tension from the stretched foils





# CF Composite R&D (FIT)



Trimmed quarter-frame (test piece, 175 g)

## Carbon Fiber Composite:

- Araldite epoxy (AY103)
- Intermediate-modulus uni-directional carbon fiber ("IM7")
- 8 layers
- Produced in-house

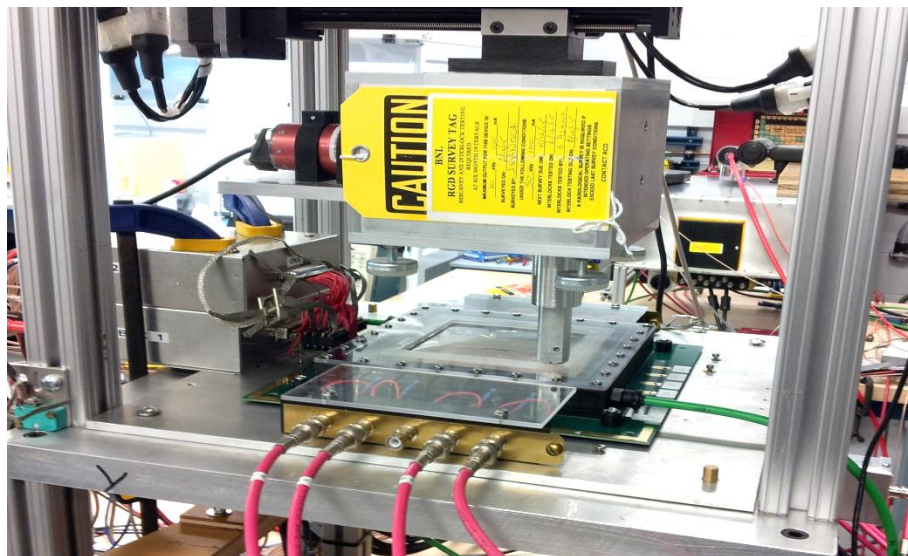
Raw full drift frame before trimming



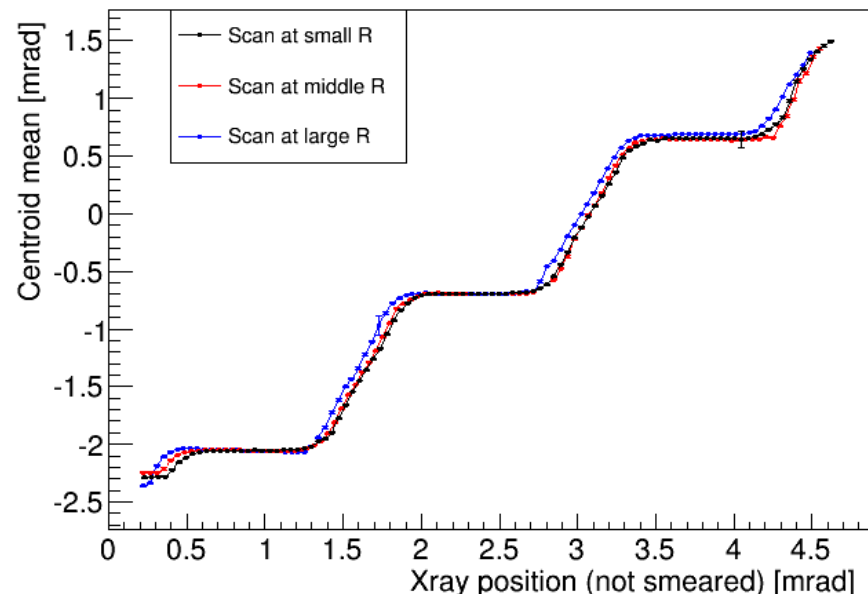
# Non-linearity of First Zigzag Readout



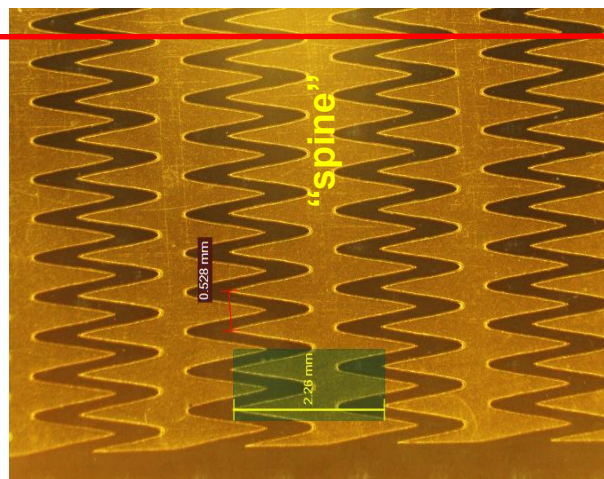
2D stage and highly collimated X-ray gun at BNL



Reconstructed position vs. X-ray position (azimuthal)



Scans across strips at 3 different radii



- **Overetching** of tips and **underetching** of valleys of zigzag strips creates “spines” along strip centers
- GEM avalanche induces signal only on single strip
- Without charge sharing among adjacent strips:
  - Readout is insensitive to hit positions near the strip centers
  - Overall spatial response is non-linear
  - Spatial resolution degrades



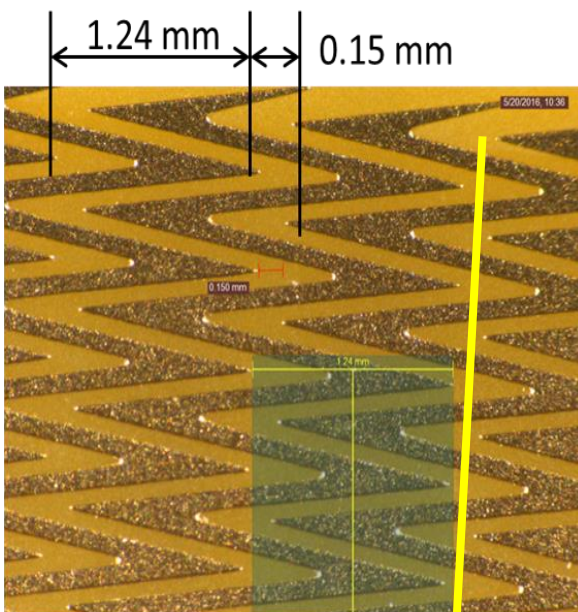


# Improved Zigzag Strip Readout Design



Zigzag strips interleave almost all the way to centers of both neighboring strips:

Industrial  
PCB  
(10×10 cm<sup>2</sup>)



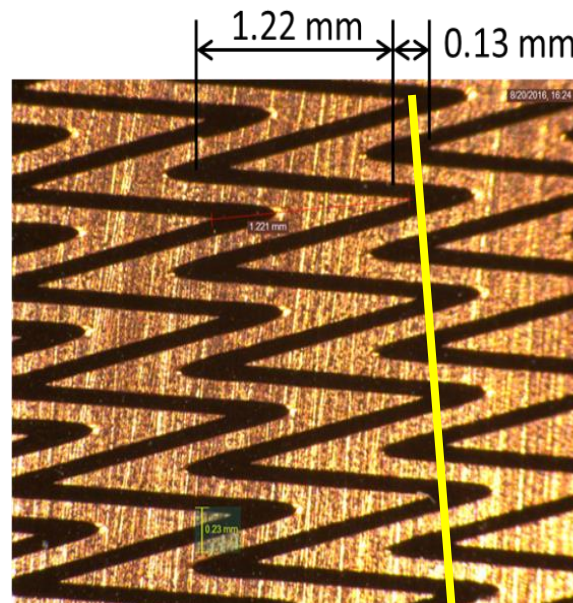
ACE Board #2 Left

Interleaving:  $1 - (0.15/2)/1.24 = 94\%$

Copper thickness: 9  $\mu\text{m}$  (1/4 oz)

strip center

CERN  
Foil  
(10×10 cm<sup>2</sup>)



CERN Board Left

Interleaving:  $1 - (0.13/2)/1.22 = 95\%$

Copper thickness:  $\sim 5 \mu\text{m}$

strip center

- The design **pushes the PCB manufacturing limit** since spaces are below 3 mils (76  $\mu\text{m}$ )
- Produced a **foil readout board at CERN** to verify that there is no problem with producing high-quality zigzag strips on a large-area kapton foil at CERN
- Same design is implemented on large 1-m zigzag readout foil being produced at CERN



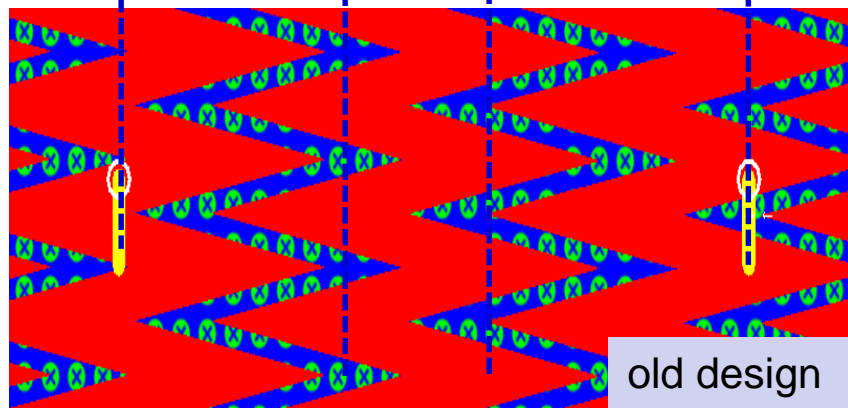
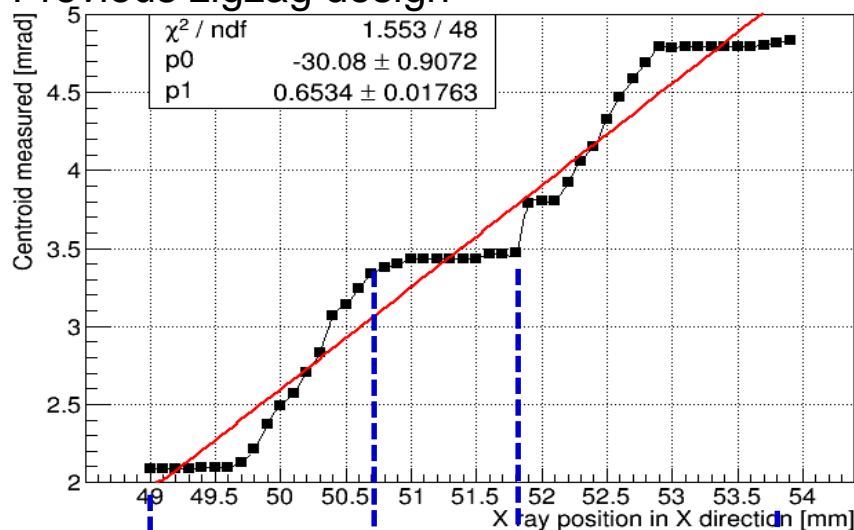


# Much Improved Linearity



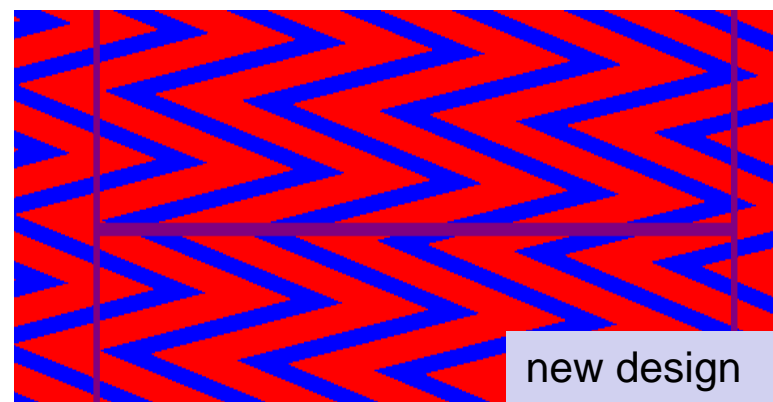
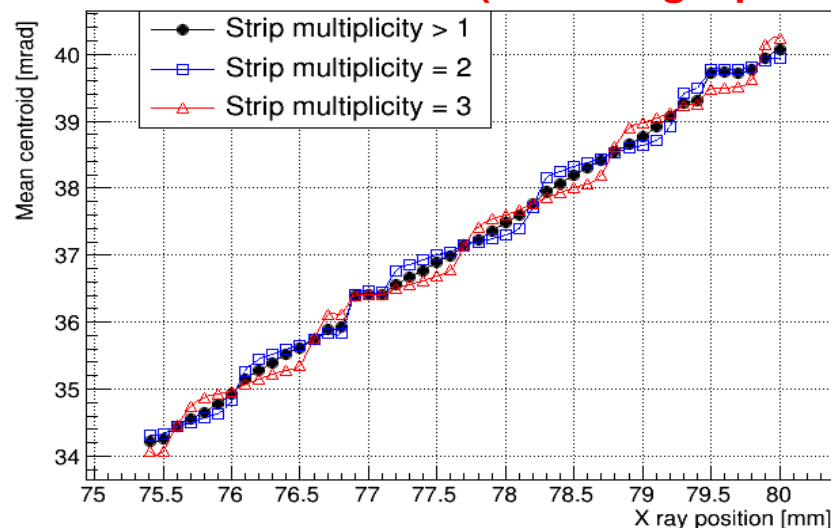
Mean centroid measurement vs. X ray position (scan across strips)

Previous zigzag design



Flat regions insensitive to hit positions.

New board (same angle pitch)



- Linear response over whole range
- > 95% events fire 2 or 3 strips.



# Spatial Resolution



**Measured resolutions for improved zigzag-strip readout boards:**

Spatial resolution ( $\mu\text{rad}$ / $\mu\text{m}$ )	$V_{\text{drift}}$ (V)	Approx. gas gain	Strips with angle pitch 4.14 mrad, $r \approx 229$ mm			Strips with angle pitch 1.37 mrad, $r \approx 784$ mm		
			2-strip clusters	3-strip clusters	2 & 3-strip clusters	2-strip clusters	3-strip clusters	2 & 3-strip clusters
Industrial PCB	3340	3000	288 / 66	480 / 110	384 / 88	57 / 45	97 / 76	84 / 66
CERN foil	3340	3000	397 / 91	393 / 90	397 / 91	-	-	-
CERN foil	3380	4000	-	-	-	57 / 45	92 / 72	71 / 56
Previous PCB			-	-	-			193 $\mu\text{rad}$

**Linear resolutions (well) below 100  $\mu\text{m}$**

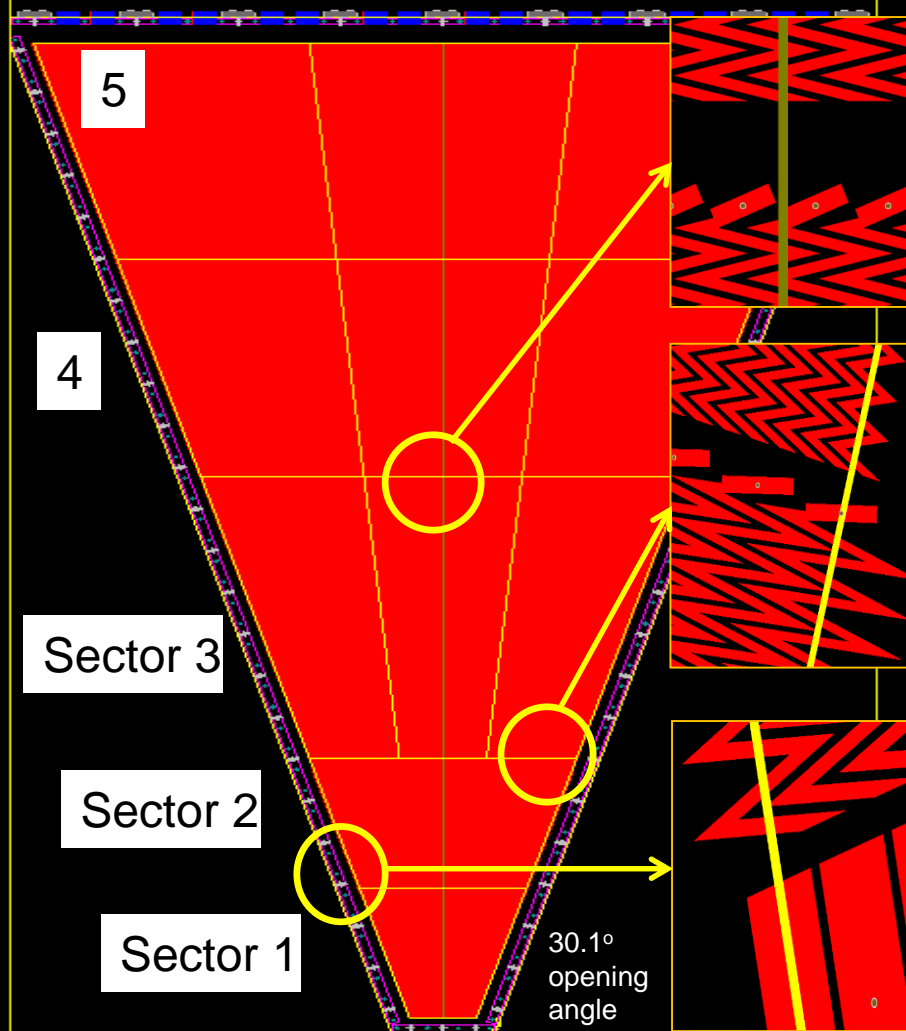


# EIC Zigzag Readout Foil (FIT)



Florida Tech

Readout connectors (Panasonic 130-pin)



Sec. Nr.	Strip type	No. of strips	Angle pitch (mrad)	Sector Length (cm)
1	Straight	128	4.14	12
2	Zigzag	128	4.14	12
3	Zigzag	384 (3×128)	1.37	22
4	Zigzag	384	1.37	22
5	Zigzag	384	1.37	22

- **Adopt the improved zigzag strip design** but use straight strips in small innermost sector 1
- Divide readout into **5 main eta sections**
- Produce r/o on a foil material (<200  $\mu\text{m}$  thickness) so that total material in detector is reduced
- Total **number of channels is 1152** (=128\*9)
- Only 9 APV chips to read out the full detector
- Foil is a 2-layer design; signal routing from strips to connectors for APV front end was a challenge
- **Foil currently in production at CERN**
- Expect delivery by end of March 2017



# Summary & Outlook



- Large-area GEM detectors have become quite popular with a diverse set of experiments
- **Active EIC forward GEM tracking R&D since 2011**
  - Conceptual design for full EIC FT disk
  - Built a common 30.1° GEM foil
  - Developing & testing different assembly methods and readout designs
  - Second round of full-size prototyping in progress
  - Planning tests with X-rays and possibly beam in 2017
- **EIC Forward Tracking R&D readily applicable to RHIC detectors for forward spin physics**



# Thank you!



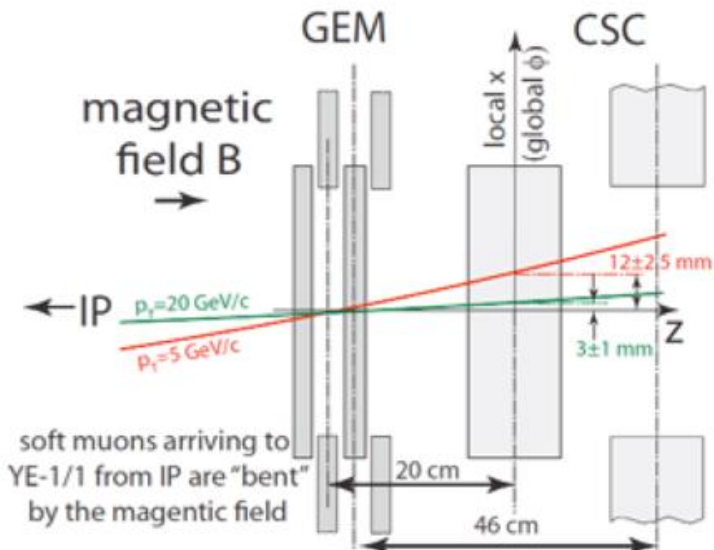
# BACKUP



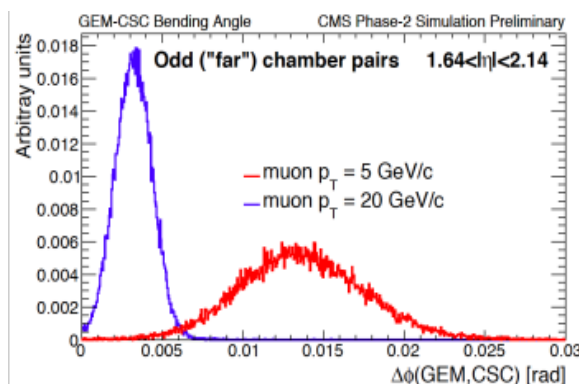
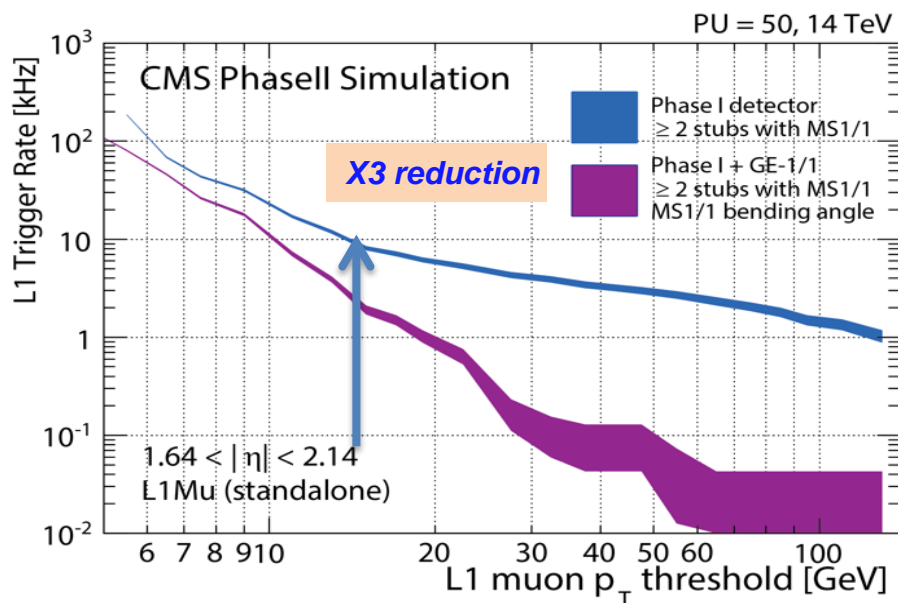
# GE1/1: Muon Measurement



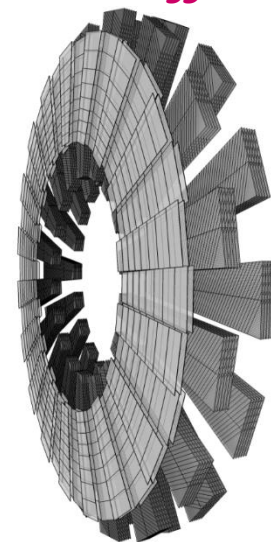
- GE1/1 creates a large lever arm with the CSC ME1/1 chambers
  - Enables precise measurement of muon direction
  - Much improved Level-1 trigger momentum resolution and lower rates in otherwise a problematic region of the detector



*Lever arm - trigger*



Maintain low  $p_T$  online threshold, keep < 5 kHz rate, high efficiency



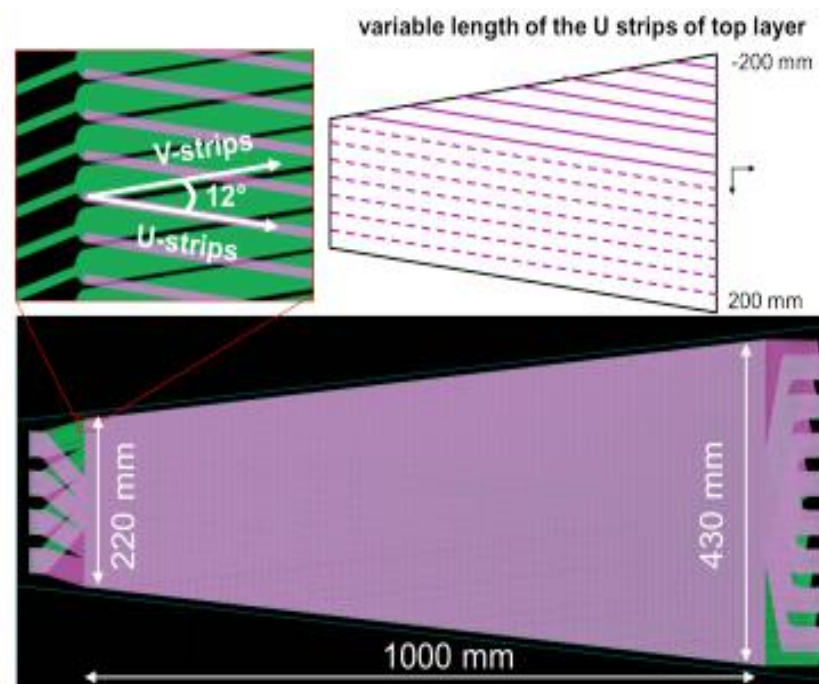
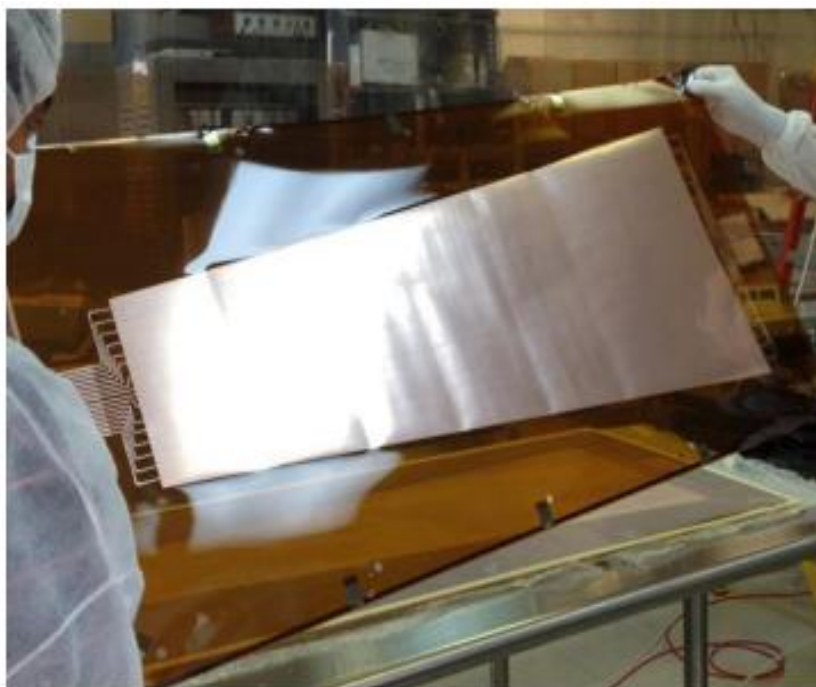




# First Iteration



- **The U. Va group** has assembled and tested a 1-m long triple-GEM detector equipped with 2D stereo angle (U-V) strips.
- Assembly method: **glue foils to frames that are held together to with screws.**
- Resolutions of 60 urad in azimuthal direction and better than 550 um in radial direction are achieved.



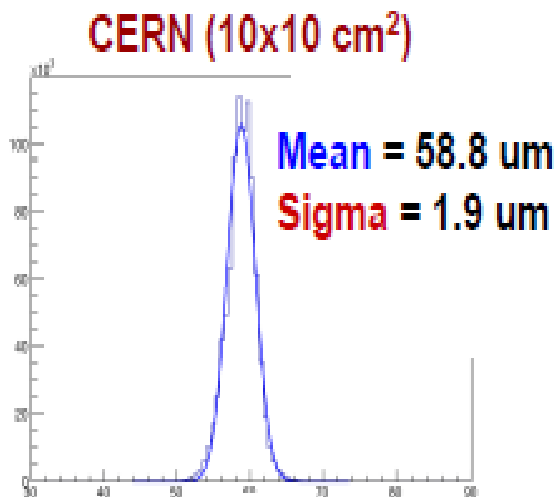
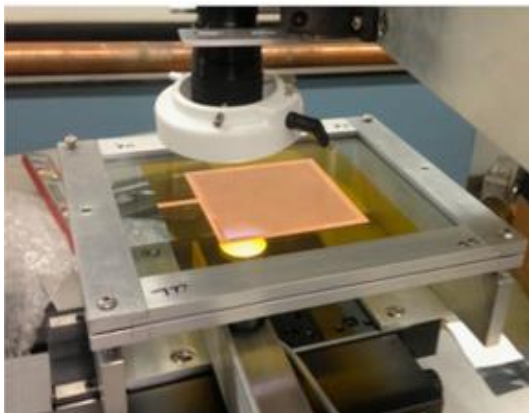
Ref.: NIM A 808 (2016) 83



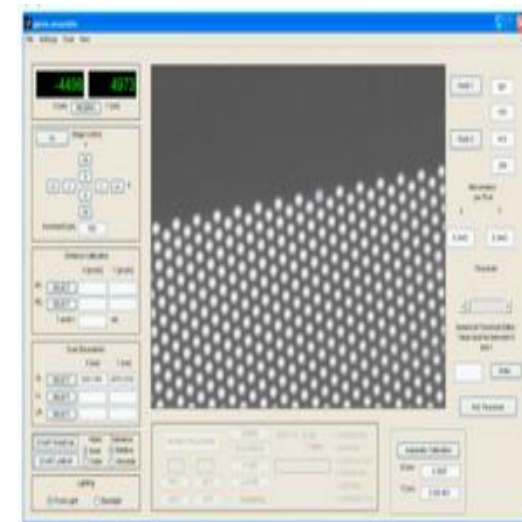
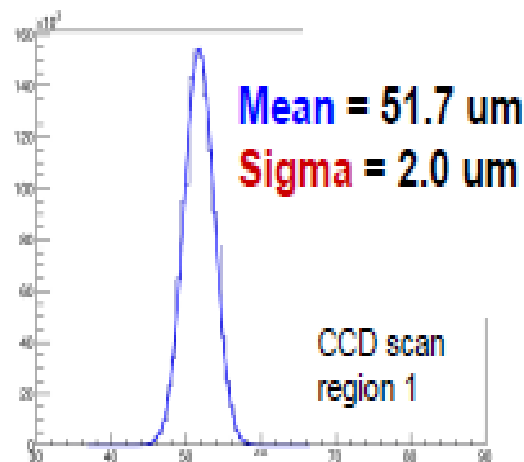
# GEM foil scanning (Temple)



- Scanned 40 cm × 40 cm GEM foils from commercial supplier and small CERN foils with an automated CCD camera setup. Currently upgrading CCD scanner so that large GEM foils can also be scanned.



**Tech-Etch (40x40 cm<sup>2</sup>)**



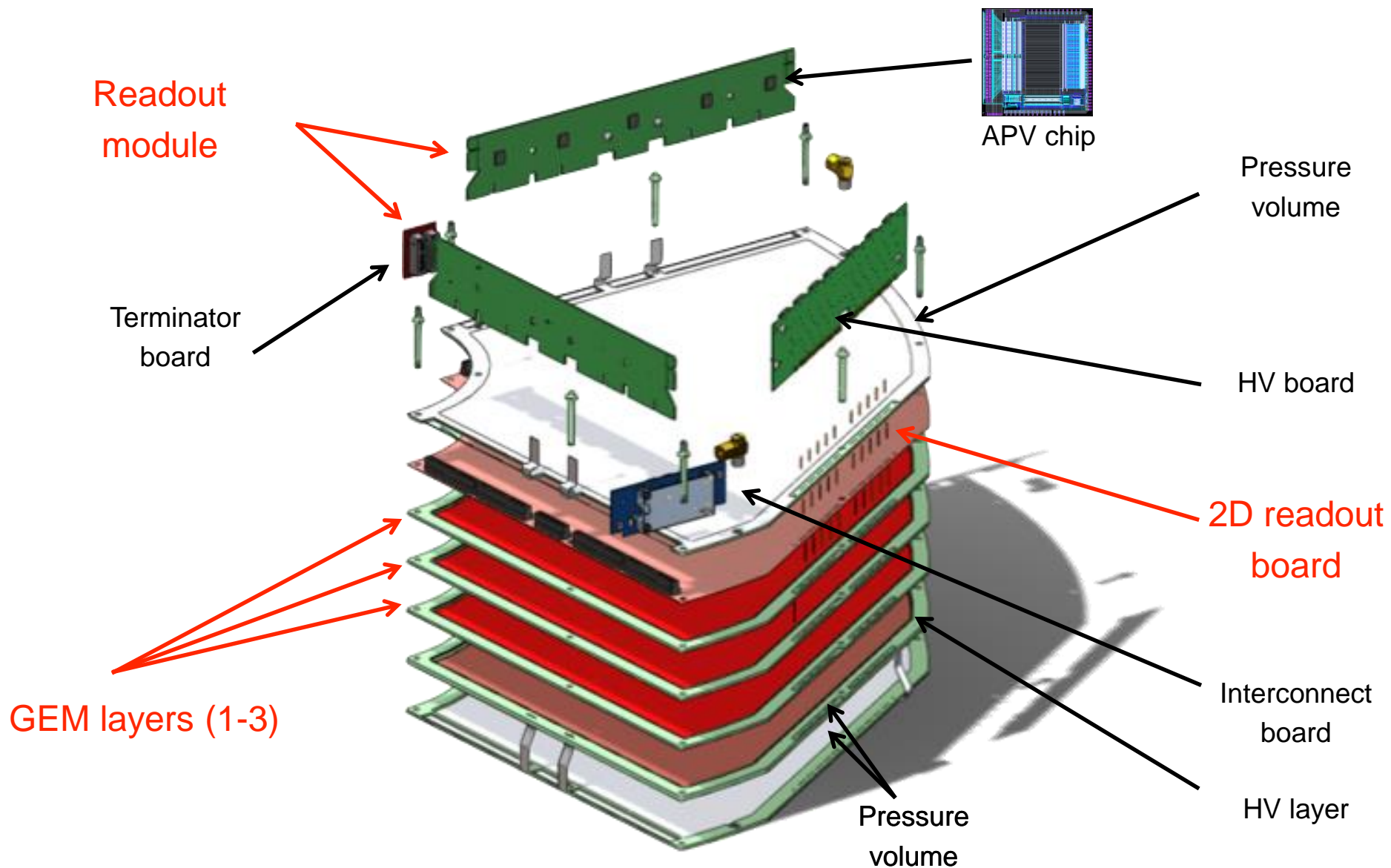
NIM A 617 (2010) 196-198

NIM A 802 (2015) 10-15

Example: Inner hole diameter distributions



# STAR FGT Quarter Section



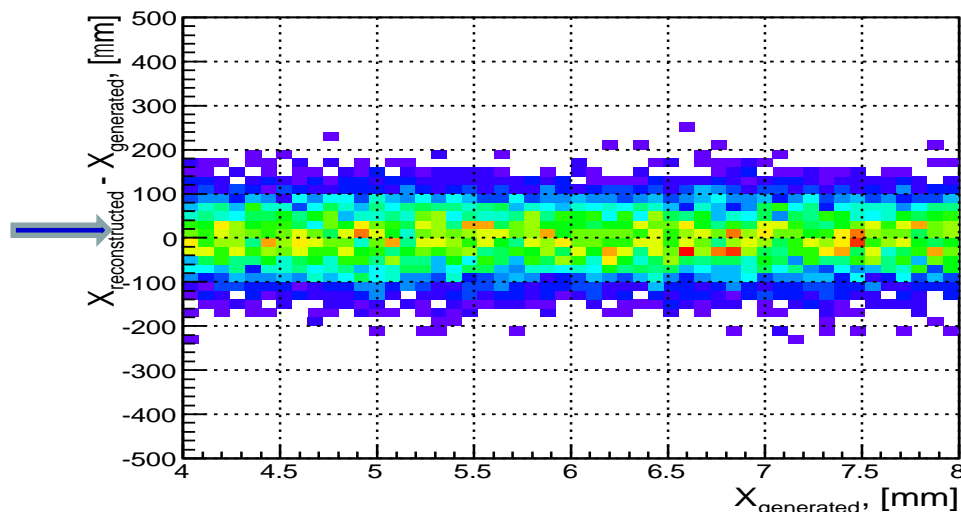
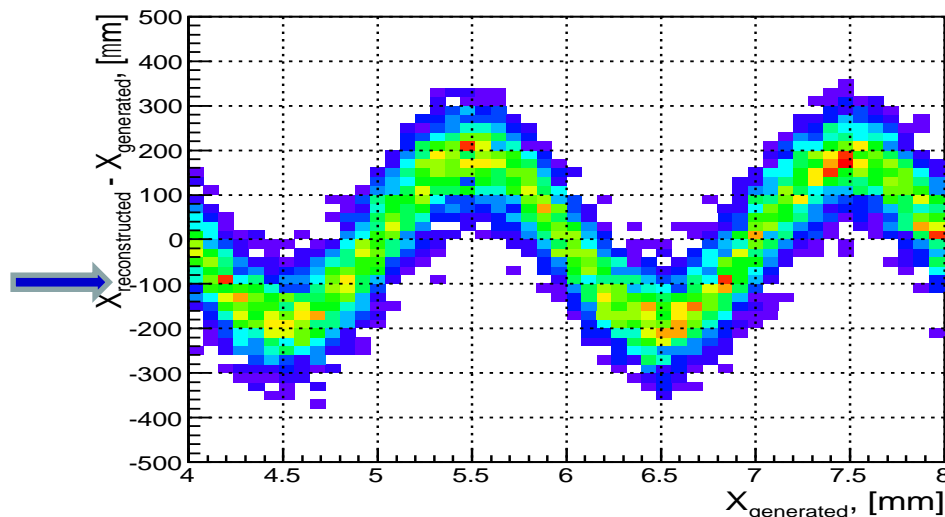
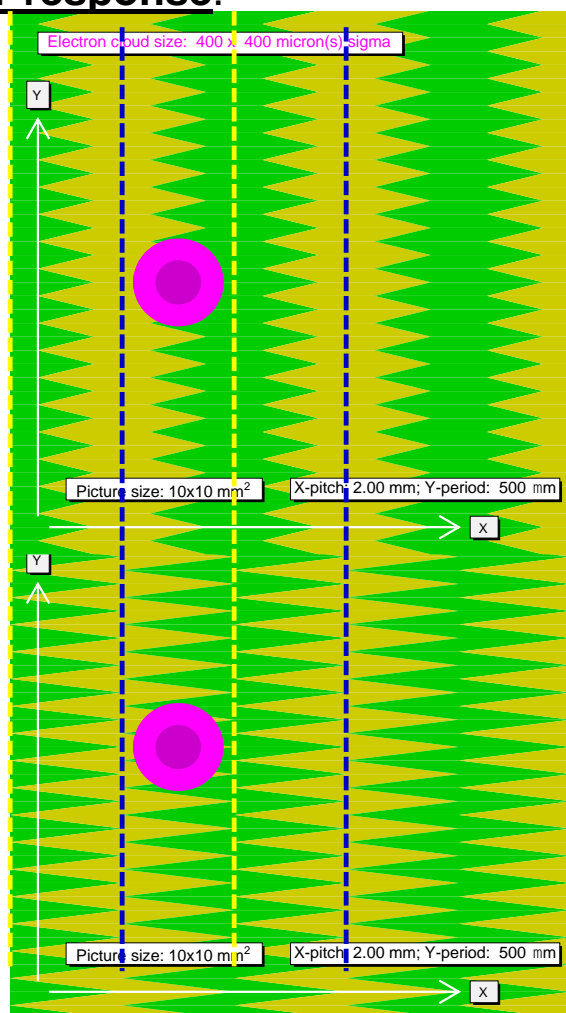


# Readout design at FIT group zigzag strips



Florida Tech

-> Type A has been tested in our previous prototype (arXiv:1508.07046), **type B will be chosen** for the next prototype. The reason is: **type B design gives better charge sharing and shows less non-linear response.**



Simple model simulation results (courtesy of Alexander Kiselev, BNL)

3/9/2017

M. Hohlmann, Large GEM Detectors for Tracking at Forward Rapidities; RHIC Spin Meeting, BNL

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# Readout design at FIT group zigzag strips

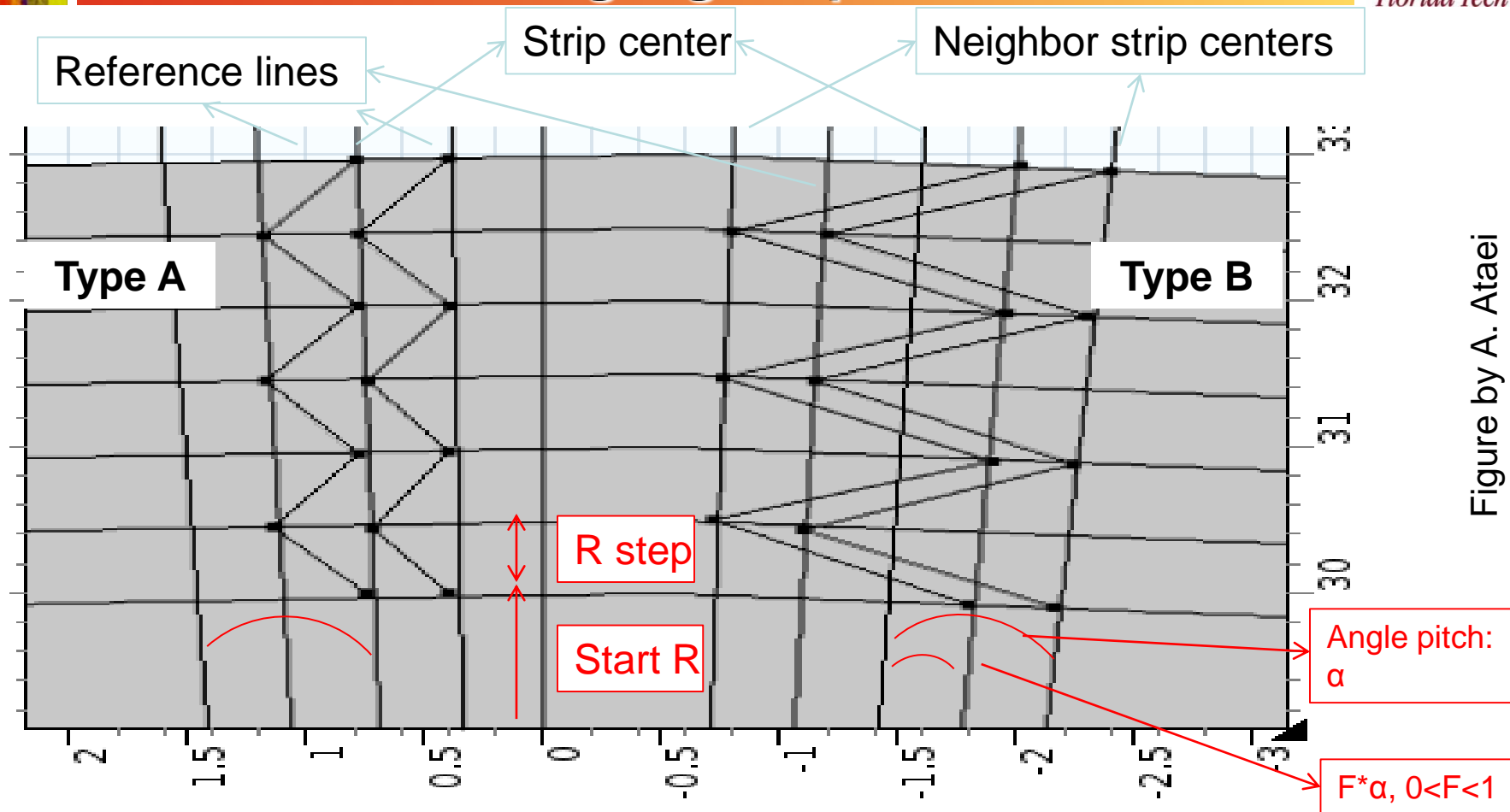
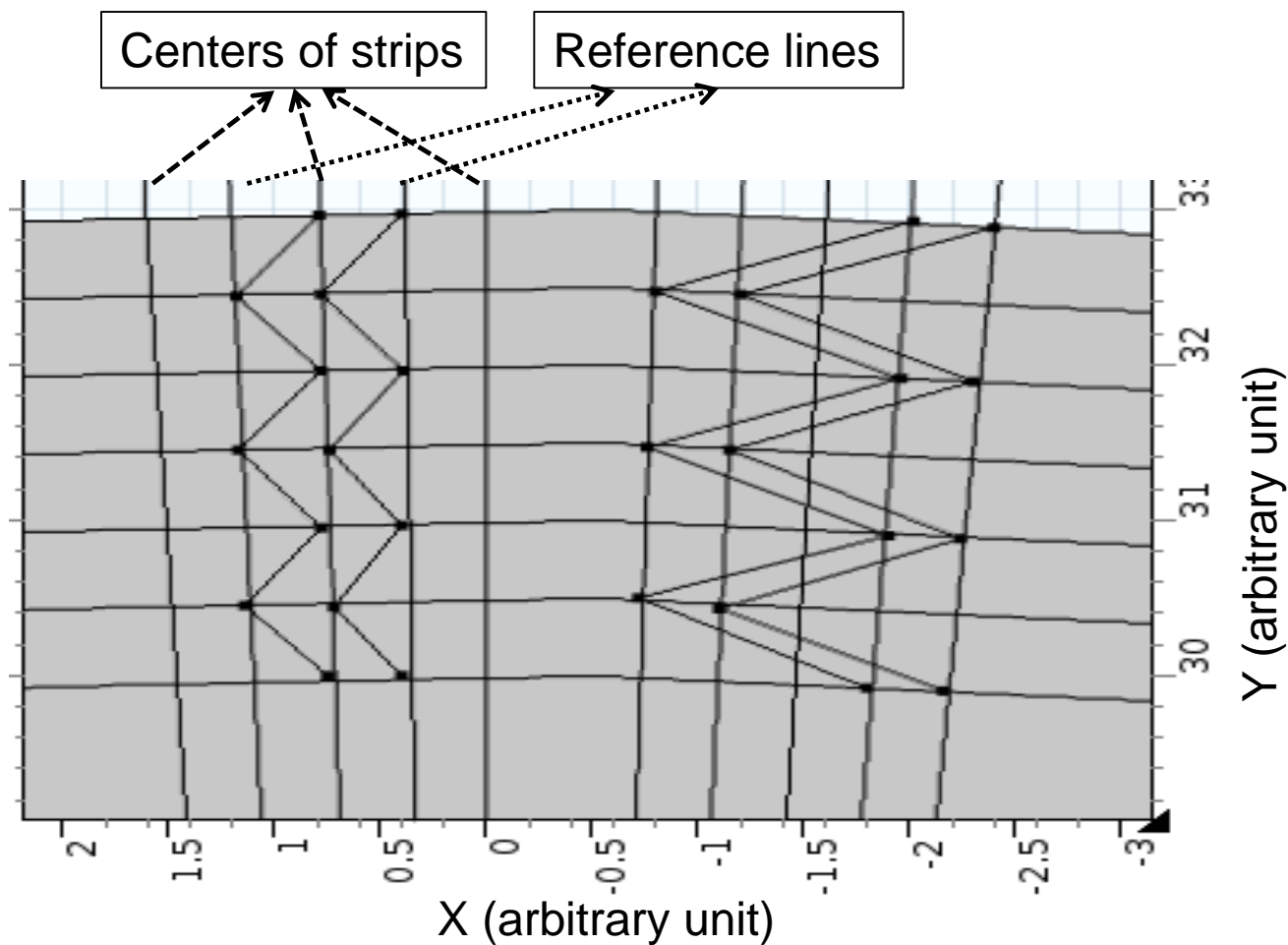
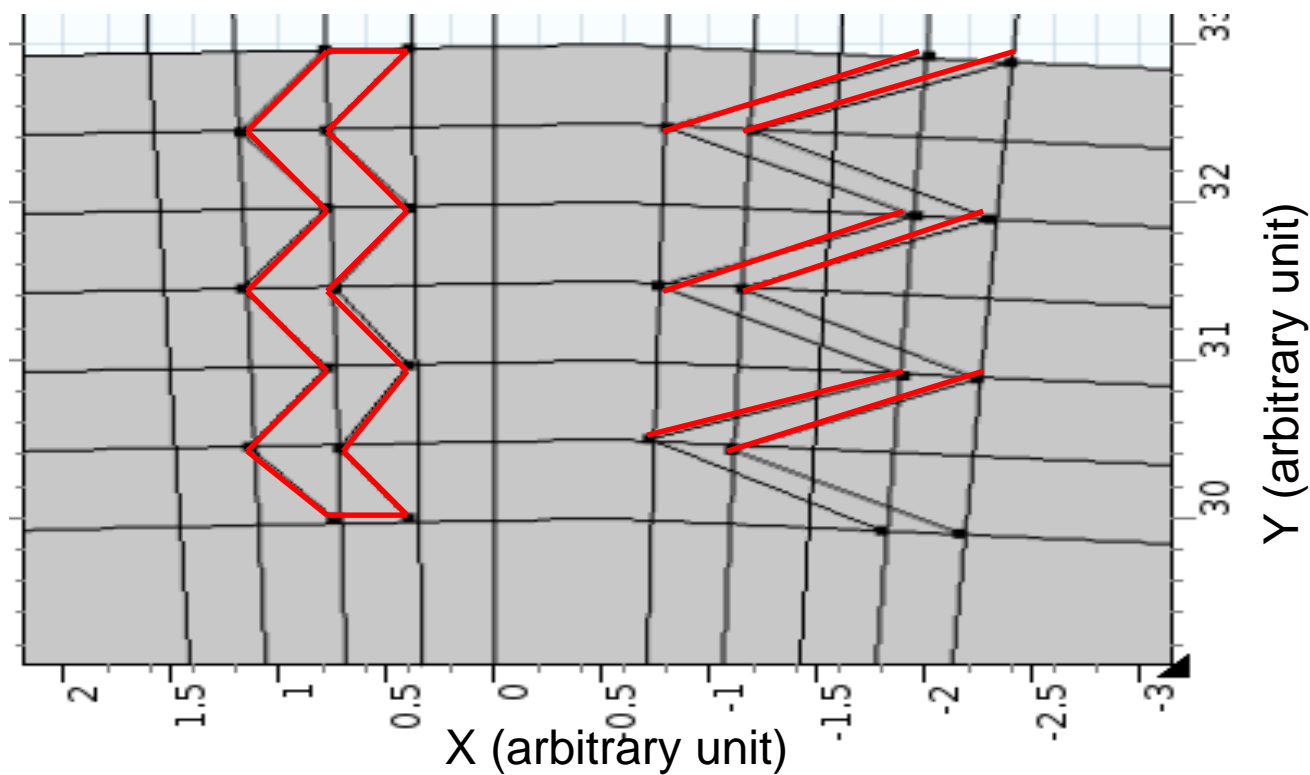


Figure by A. Ataei

-> **Four parameters** to construct a zigzag strip:  $\alpha$ ,  $F$ ,  $\text{startR}$ ,  $\text{stepR}$ .

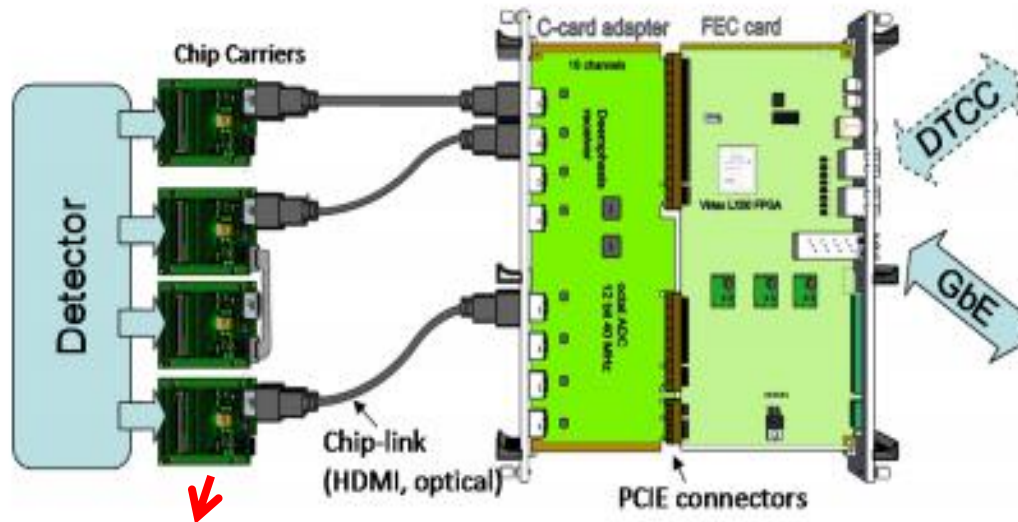
-> Two types of zigzag structure can be made: (1) type A, a zigzag strip not exceeding the two reference lines; (2) type B, a zigzag strip covers centers of the two neighbor strips.



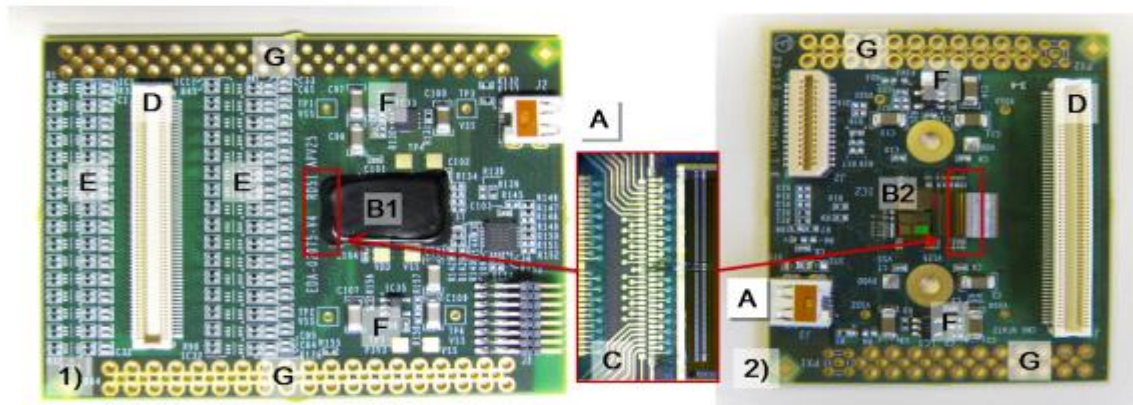




# Electronics – SRS and APVs



DAQ LAN (small/  
medium size system)



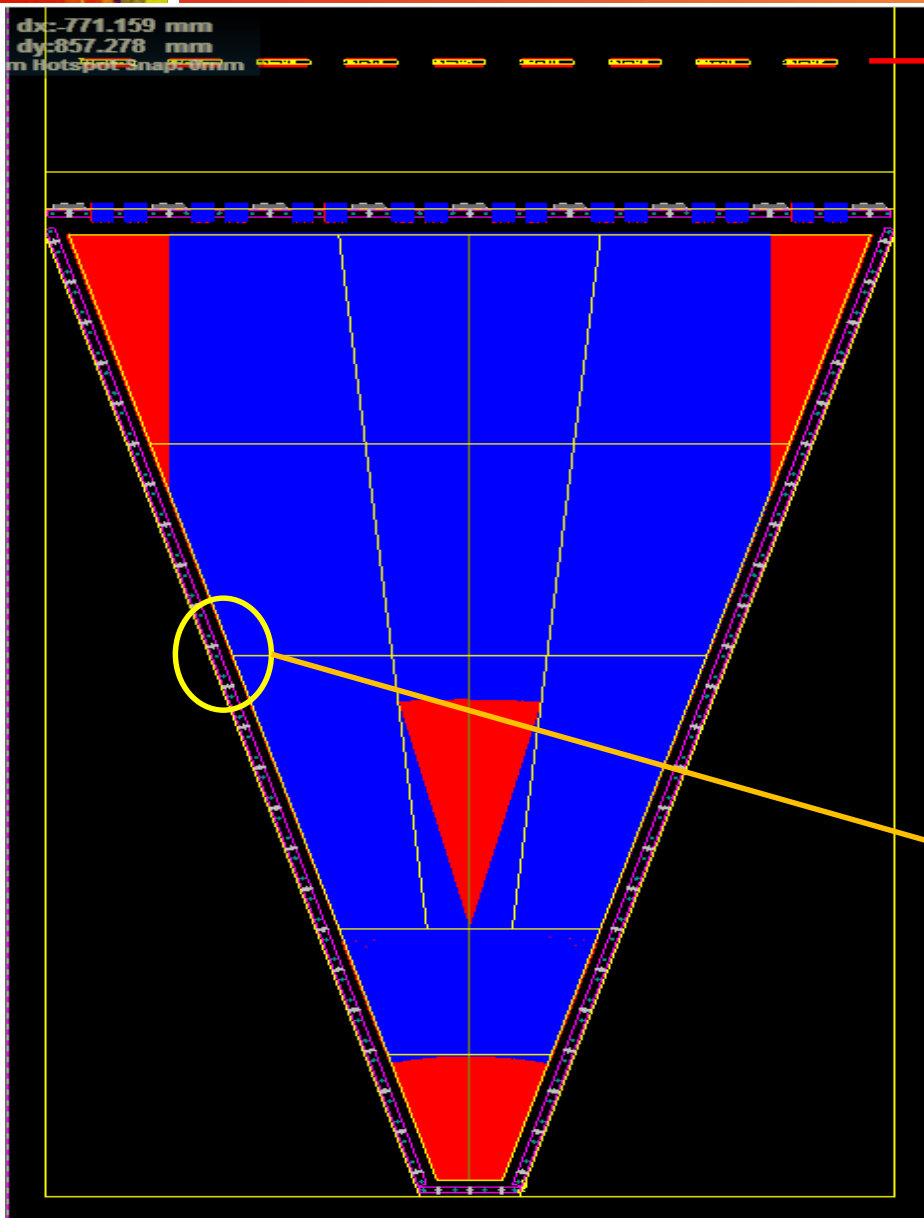
Ref.: 2013 JINST 8 C03015

**Figure 4.** Front-end hybrids: 1) APV25, 2) VFAT2; A — Micro-HDMI connectors (Type-D); B1 — APV25 ASIC with top-globbing protection; B2 — VFAT2 ASIC wire-bonded; C — detail of the microvias used for the high-density wire-bond region; D — RD51 detector connectors (Panasonic); E — spark protection circuitry; F — power regulators; G — via array for the low-ohmic ground connectors.



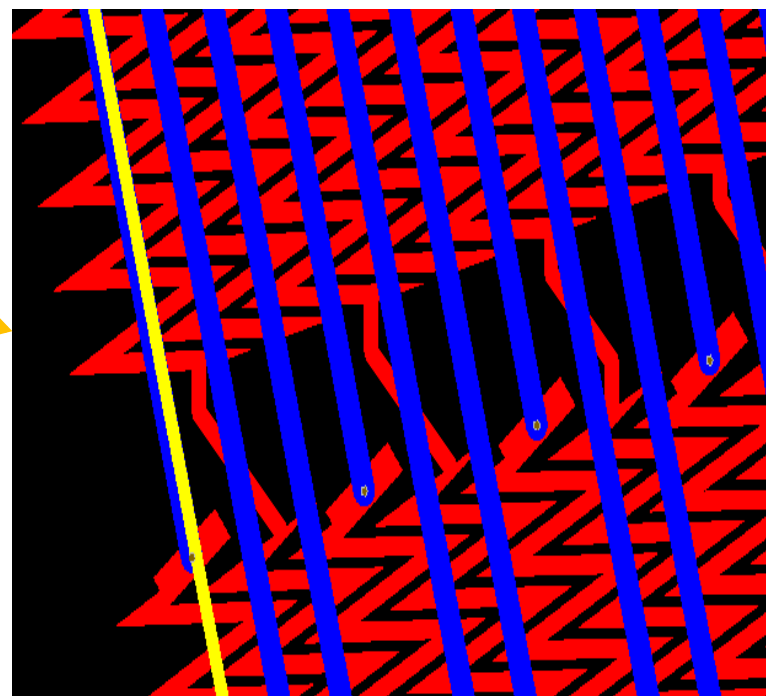


# Signal routing from readout strips to connectors



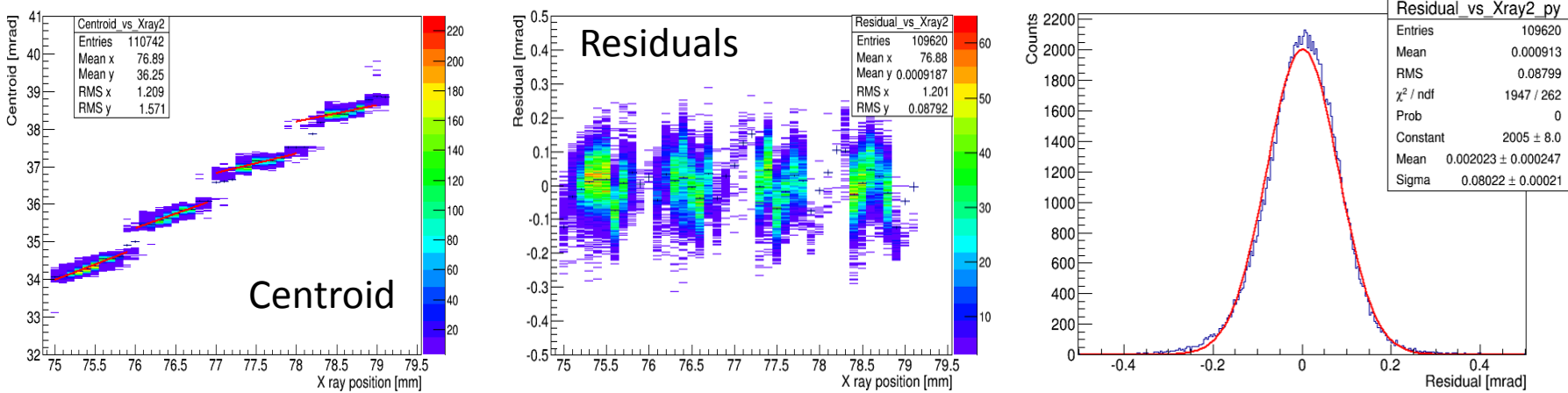
Connectors for APV chips

- Signal lines are blue (top side)
- Zigzag strips are red (bottom side)
- Connection made with vias

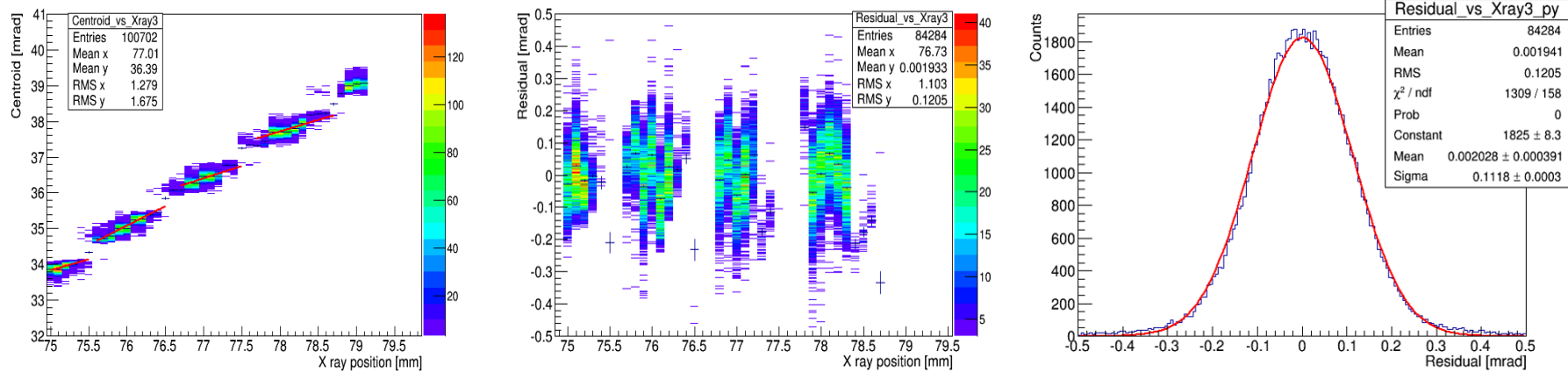


Resolution studies with CERN board for strips with angle pitch 1.37 mrad, radius ≈ 784 mm

Cluster strip multiplicity = 2



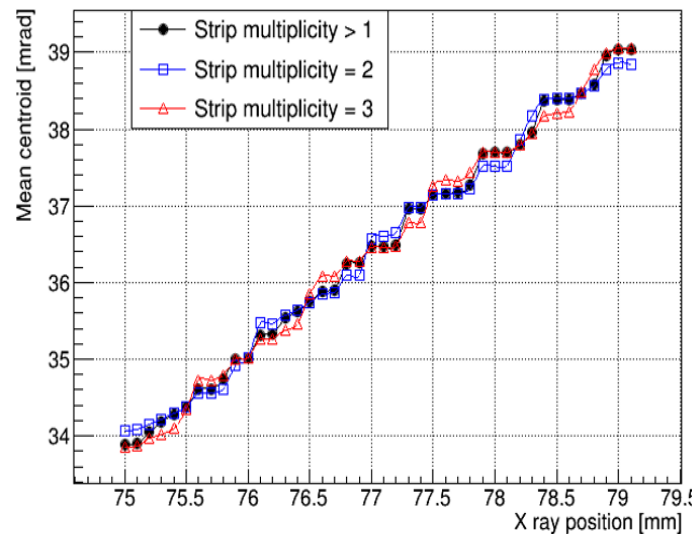
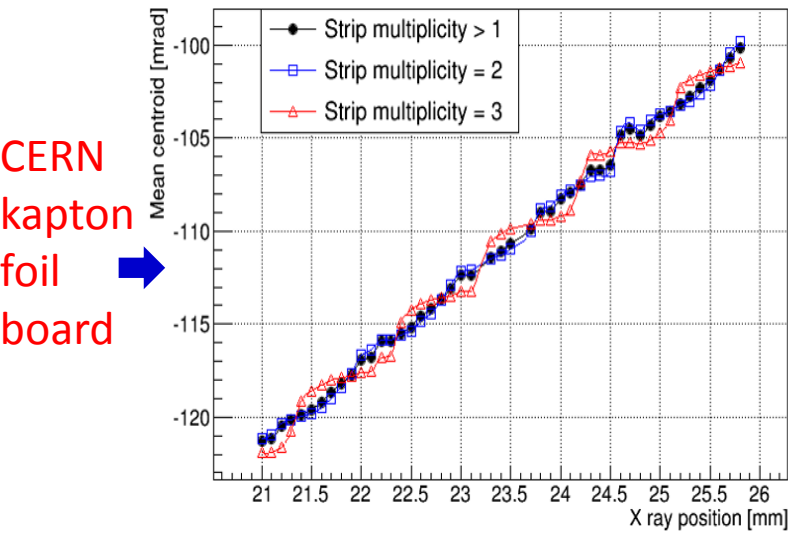
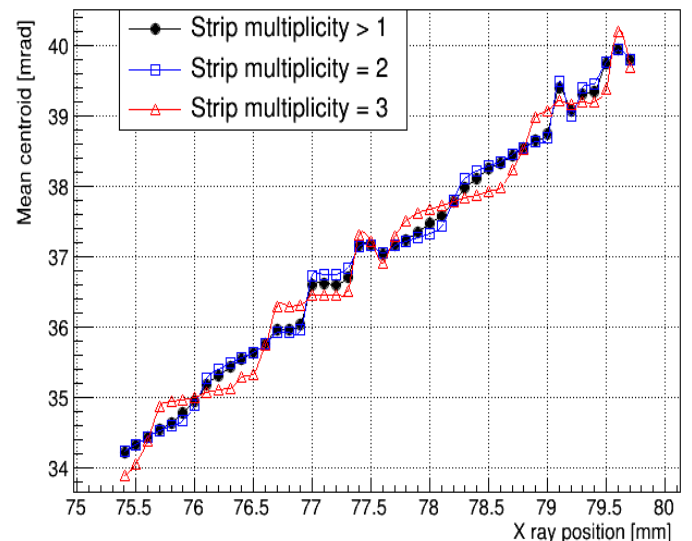
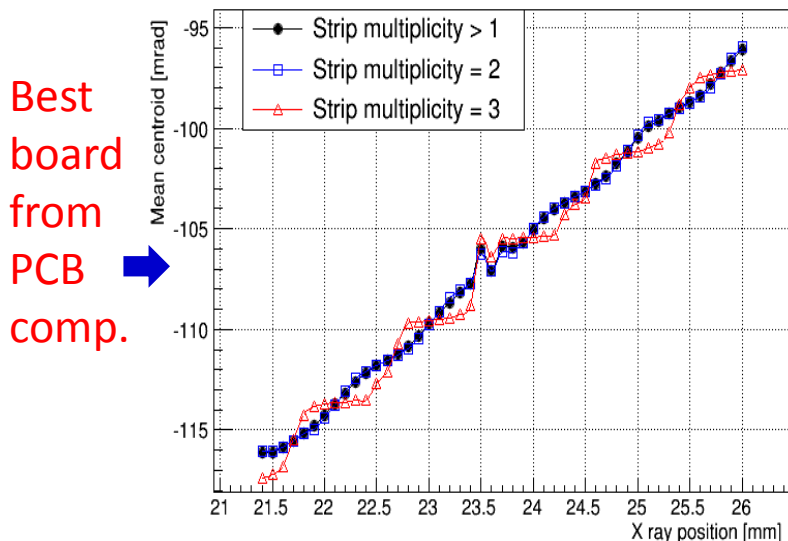
Cluster Strip multiplicity = 3



Mean centroid vs. X-ray position

Strip angle pitch 4.14 mrad, radius in EIC  $\approx$  229 mm

Strip angle pitch 1.37 mrad, radius in EIC  $\approx$  784 mm





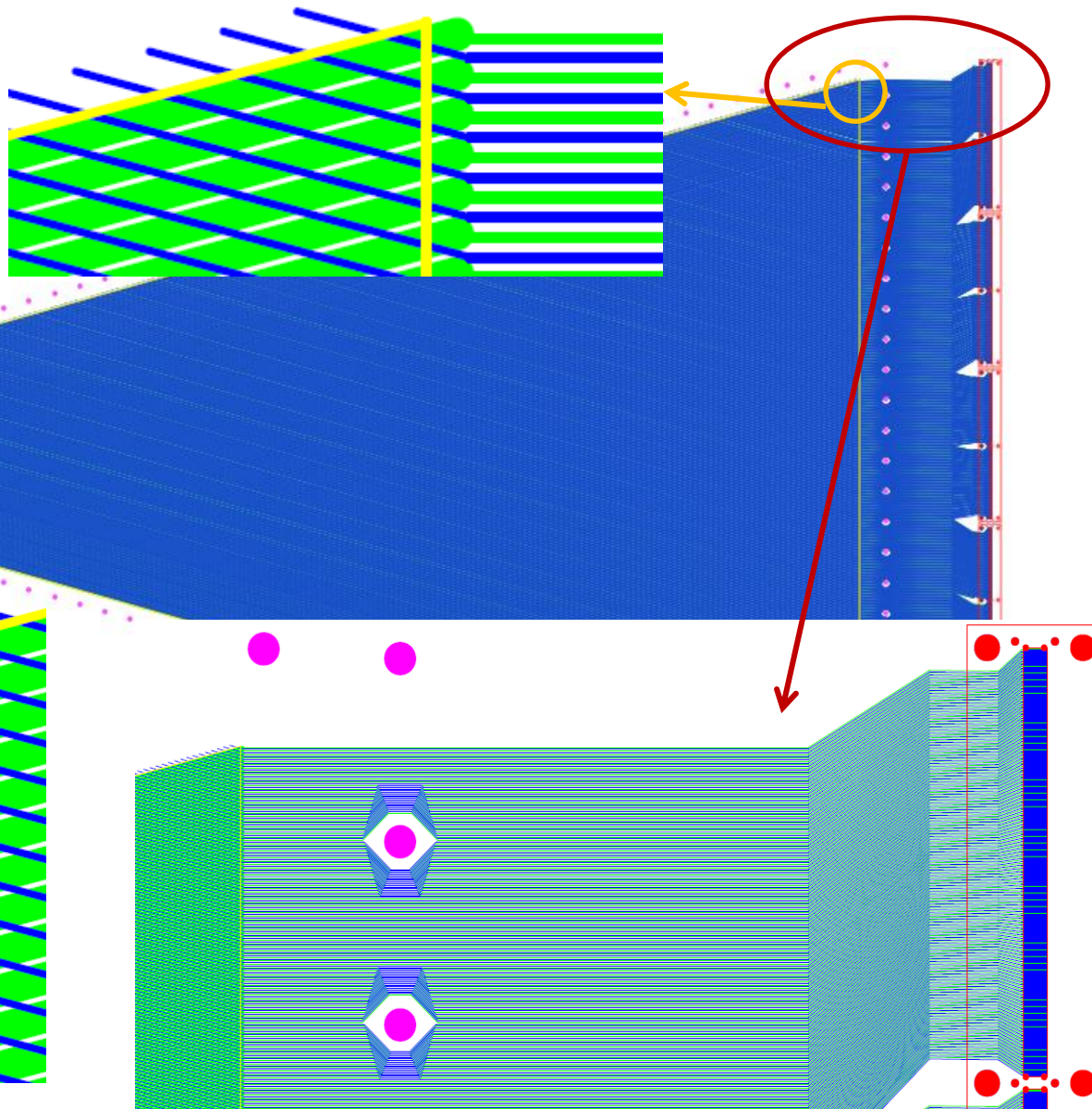
# Readout design at U. Va group

## 2D stereo angel strips (U-V strips)



K. Gnanvo, U.Va

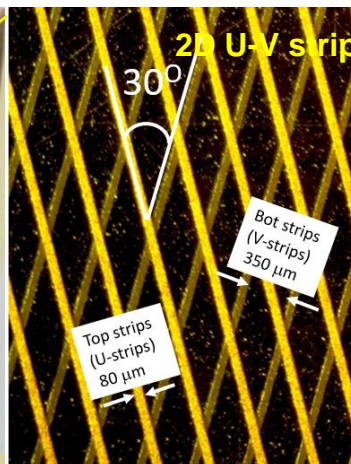
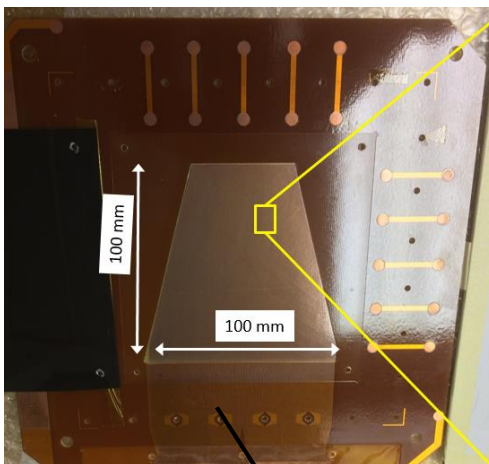
- Angles between U-V strip is 12 deg.
- **20 APVs (2560 channels)** to read out a detector.







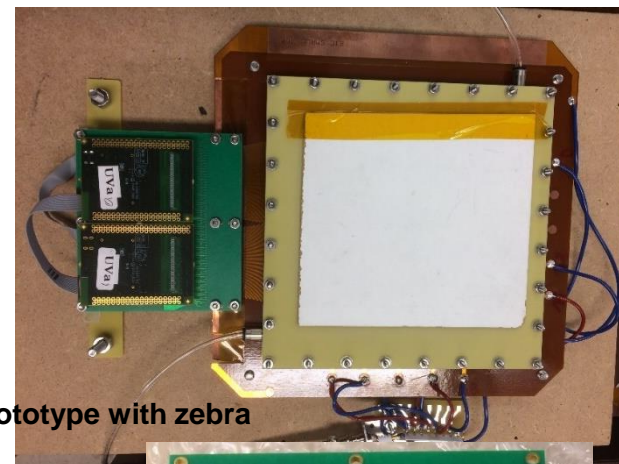
# 2D (U-V strips) readout with zebra connection concept



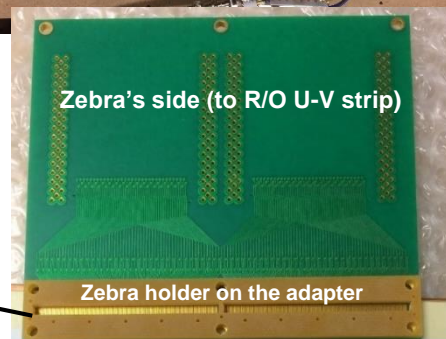
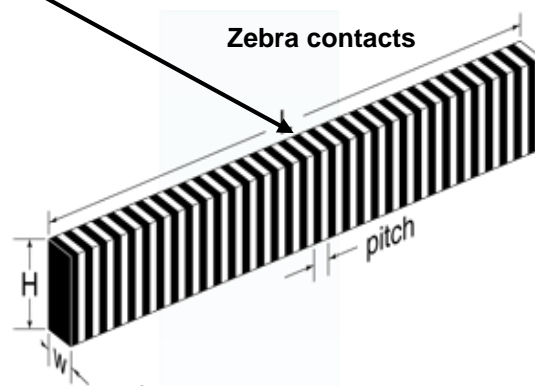
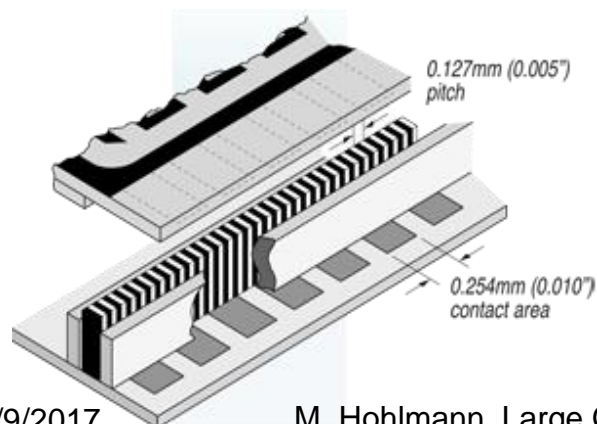
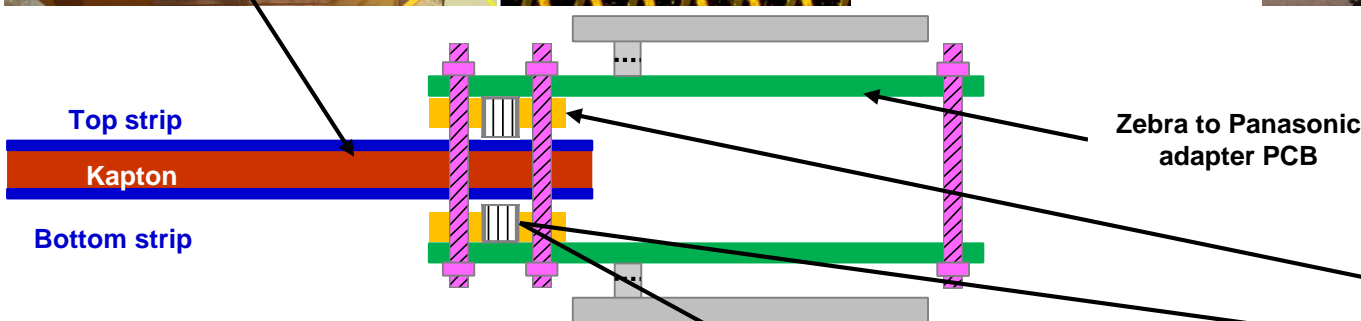
2D U-V strips readout board

Test on a small 10x10 triple GEM prototype

Contact of U and V strips on either side of the R/O foil

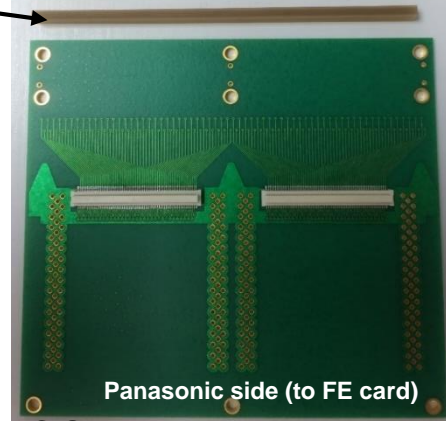


Small GEM prototype with zebra



Zebra's side (to R/O U-V strip)

Zebra holder on the adapter



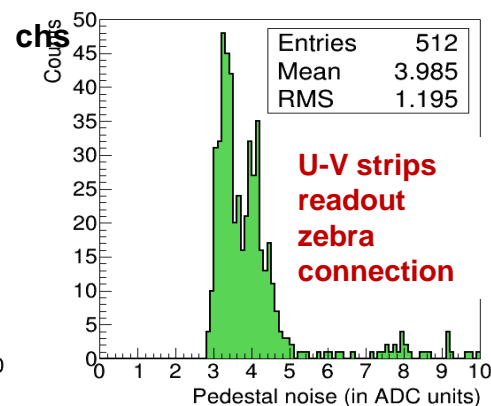
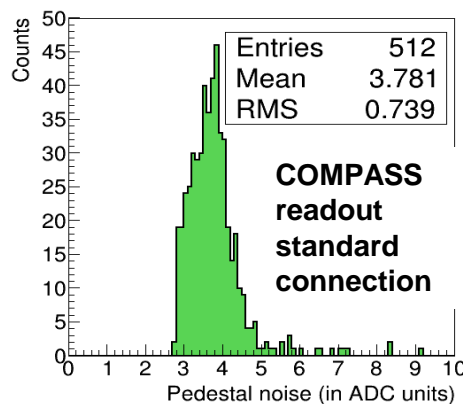
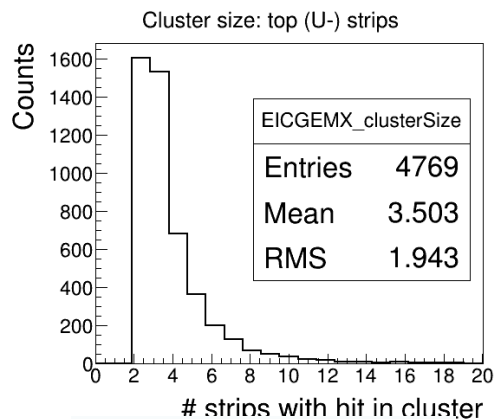
Panasonic side (to FE card)



# Preliminary results on small 2D (U-V strips) triple GEM prototype with Zebra connection



## Distribution of pedestal rms (noises) over 512 APV25



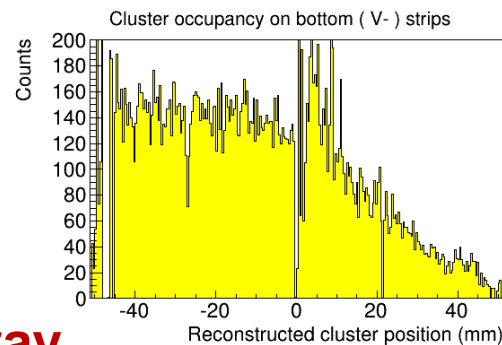
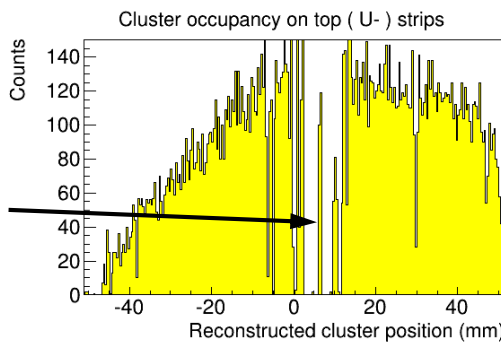
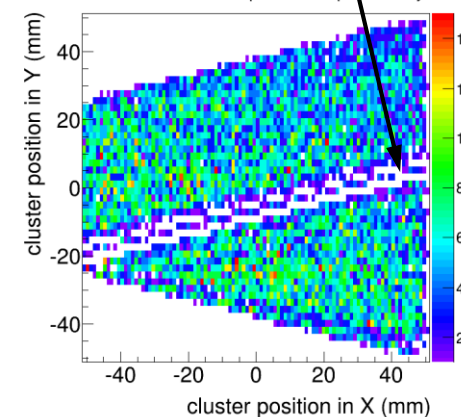
- Pedestal noise level comparable to standard COMPASS 2D readout
- No effect of the zebra connection on noise level

Cluster size > 3.5 ⇒

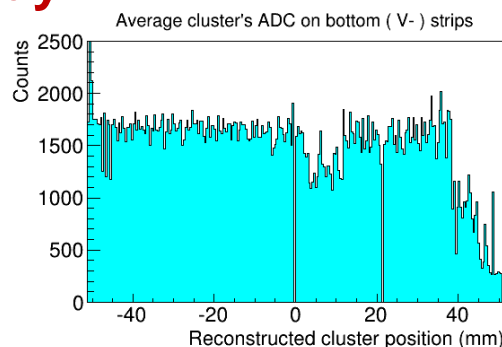
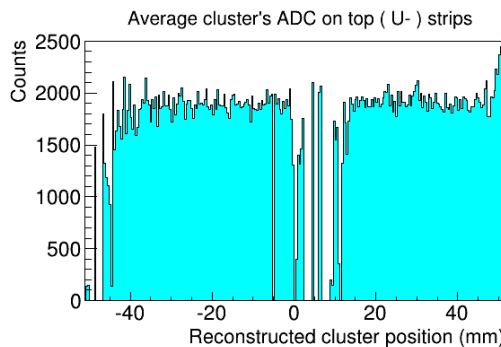
improvement in spatial resolution

bad zebra contact

U-V readout: Cluster position map with x-ray



Occupancy for U and V strips: Linear dependence with strip length is shown



Uniformity of the gain uniformity: (accumulated ADC / numbers of hits)

**X-ray**